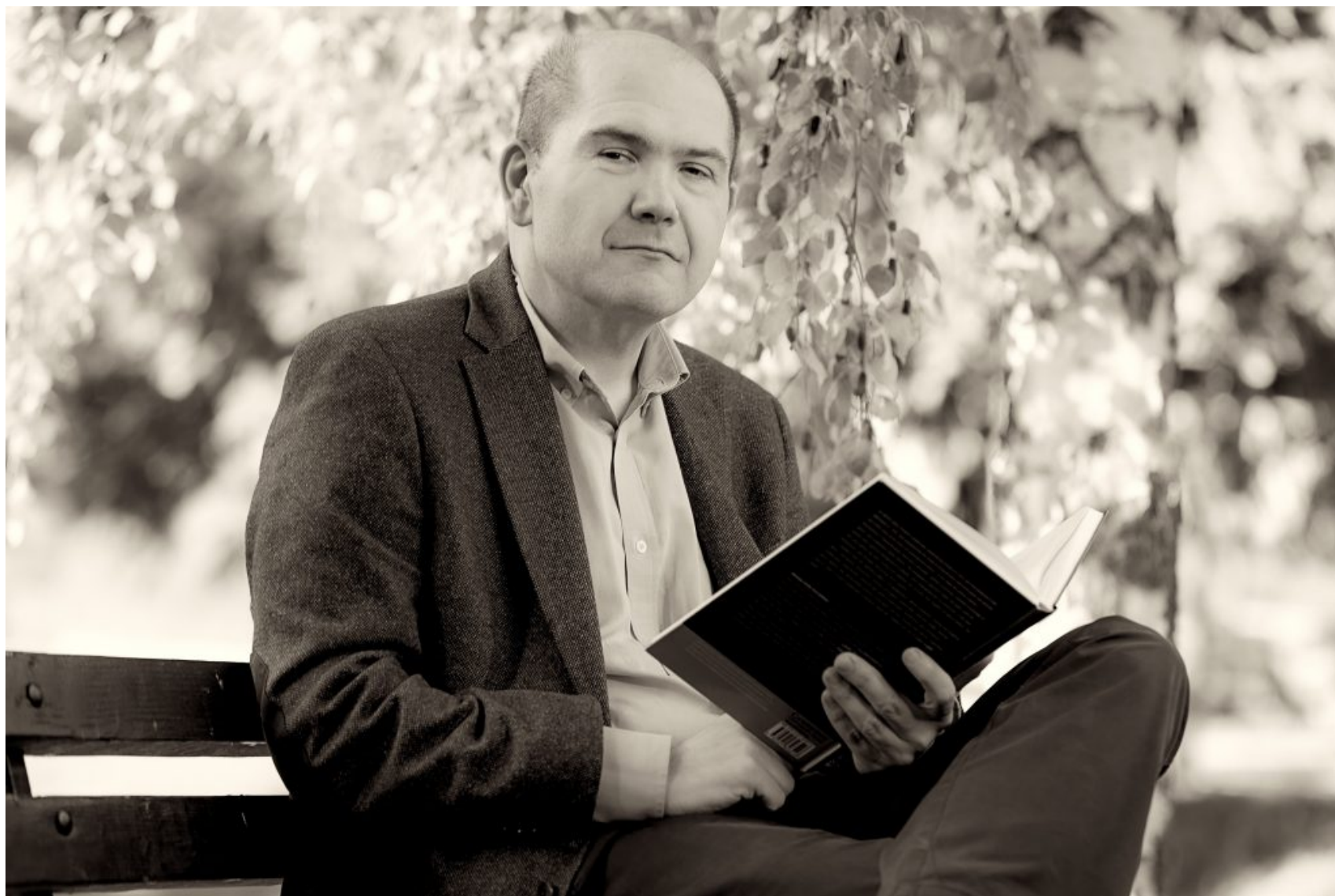


## In Memoriam: Antun Balaž (1973 – 2025)



# Personal Memories: Antun Balaž (1973 – 2025)

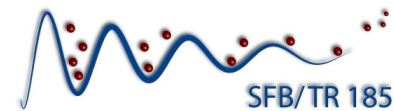
- **Original encounter**
  - Referee of his PRL 2005
  - Path integral conference at MPI-PKS Dresden (2007)
- **Talent 1: Numerics and analytics**
  - 13 common publications (2009 – 2019)
  - 6 Serbian-German projects (2009 – 2020)
- **Talent 2: Emotional intelligence**
  - Could equally well talk to junior and senior colleagues
  - Knew little personal details from my group members
- **Talent 3: Persistence**
  - Tackling problems with incredible energy
  - Preparing talks during previous nights
  - Talks at Tutzing School 2025
  - Participated at demonstrations
- **Loose ends**





# On the open-dissipative nature of photon Bose-Einstein condensates

**Axel Pelster**

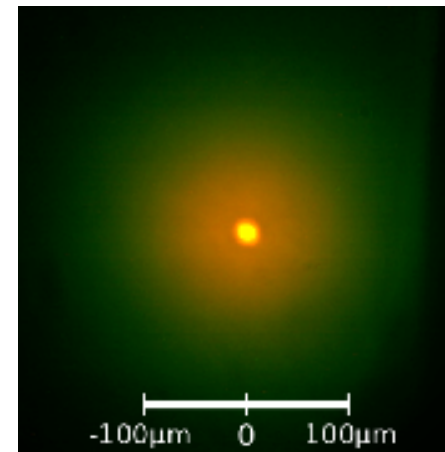


## 1. Introduction

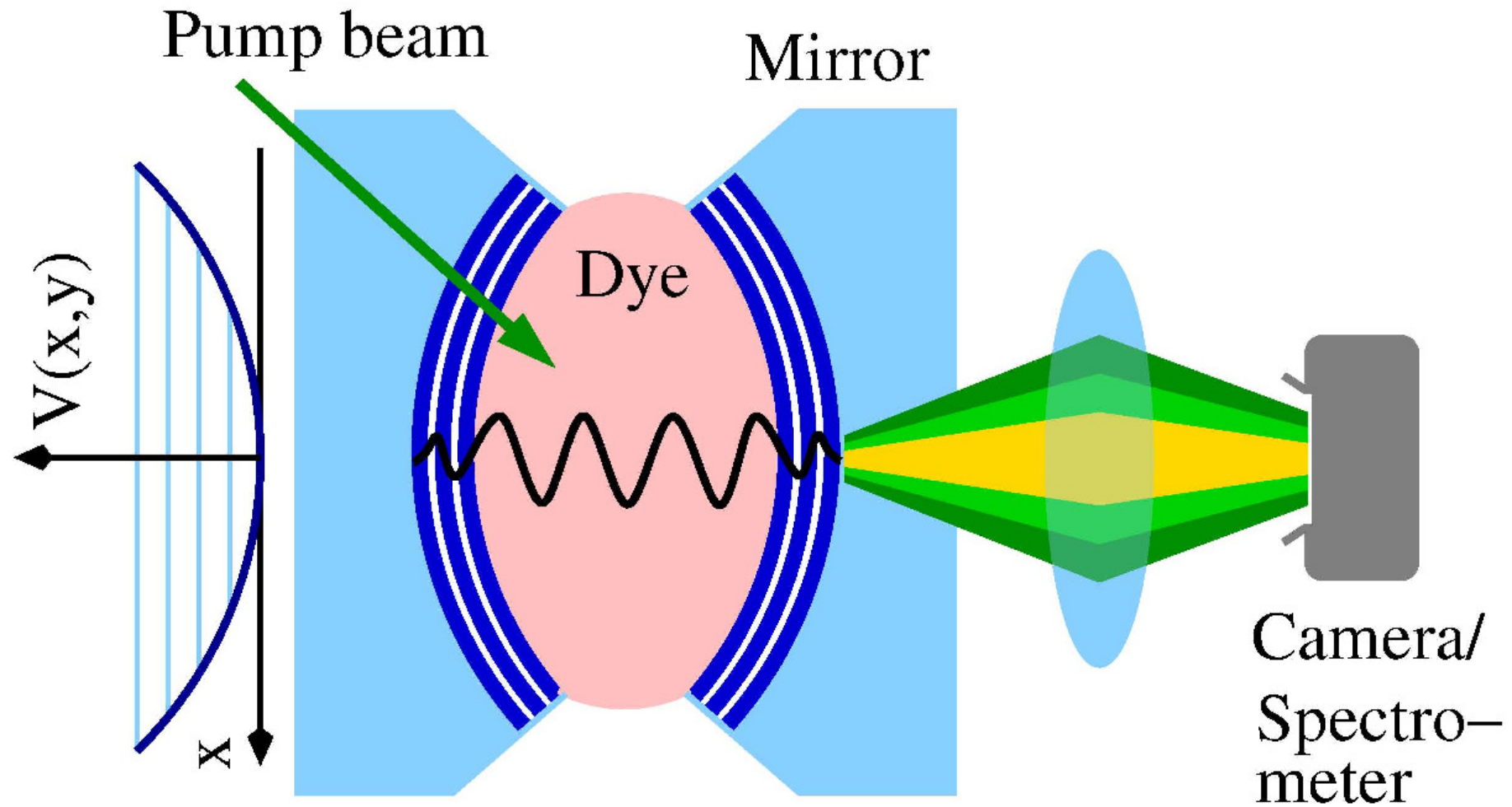
## 2. Rate Equation Model

## 3. Vortex

## 4. Outlook



## 1.1 Set-Up of Bonn Experiment

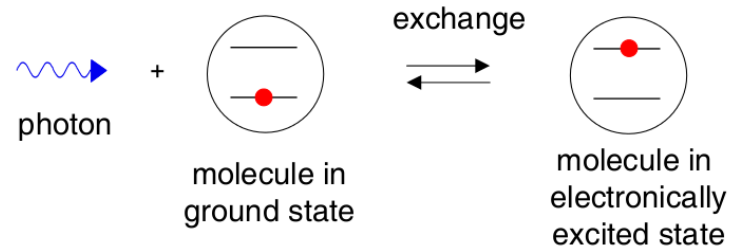


Klaers, Vewinger, and Weitz, *Nature Phys.* **6**, 512 (2010)

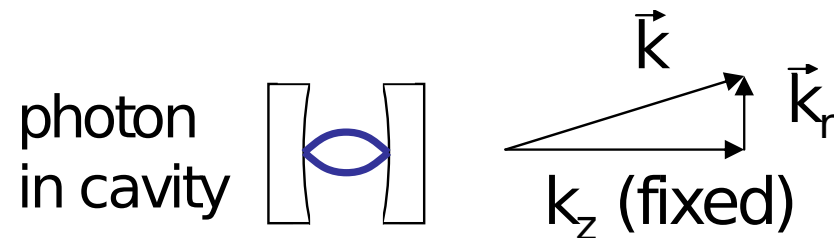
Klaers, Schmitt, Vewinger, and Weitz, *Nature* **468**, 545 (2010)

## 1.2 Basic Ingredients

- Multiple absorption and emission of photons by dye molecules:



- Quadratic photon dispersion due to paraxial approximation:

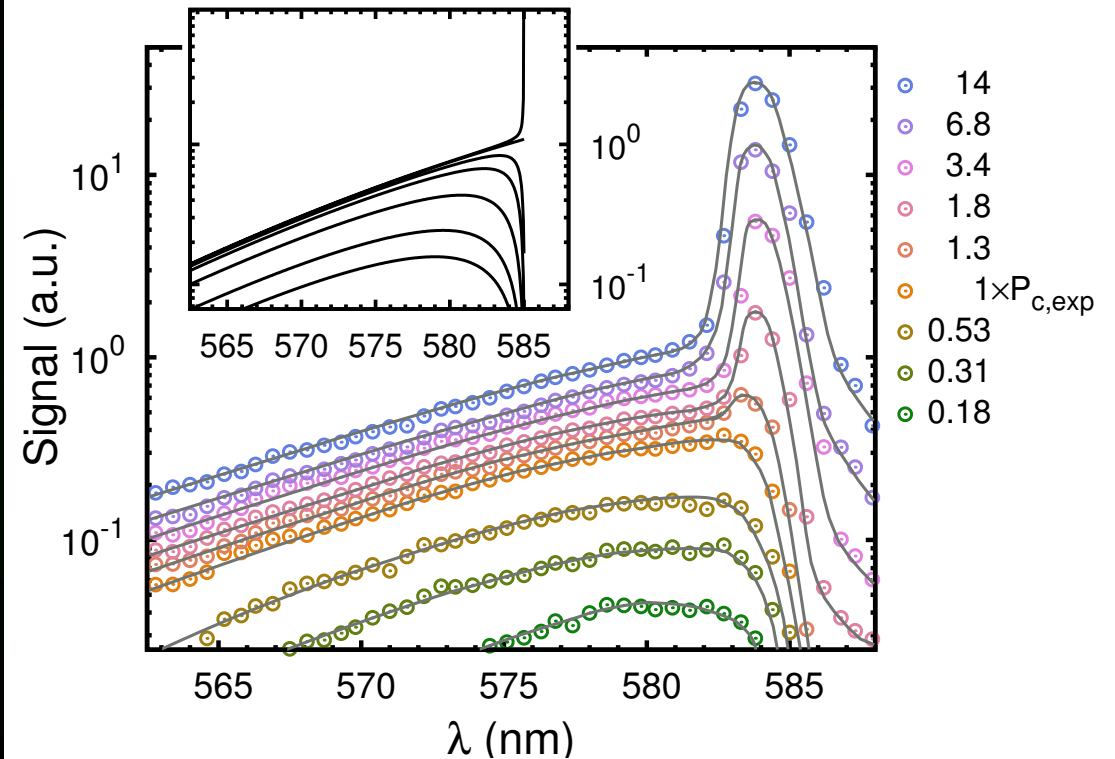
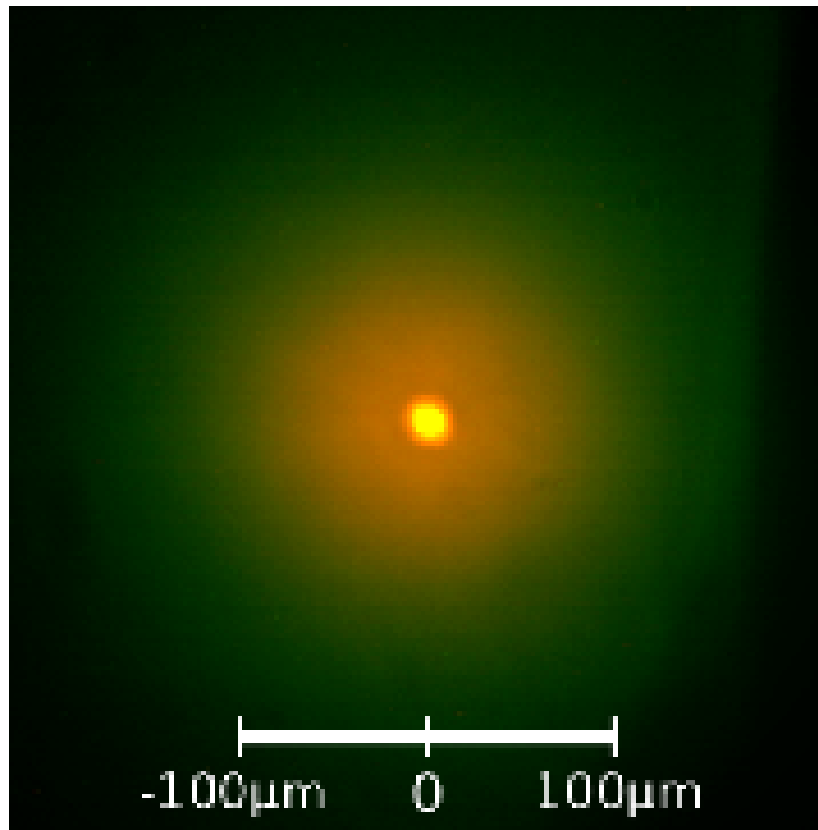


$$E_{\text{kin}} = \hbar c \sqrt{k_z^2 + k_r^2} \approx m_{\text{eff}} c^2 + \frac{\hbar^2 k_r^2}{2m_{\text{eff}}}, \quad m_{\text{eff}} = \frac{\hbar k_z}{c}$$

- Harmonic potential from mirror curvature:

$$E_{\text{pot}} = \frac{1}{2} m_{\text{eff}} \Omega^2 r^2, \quad \Omega = c \sqrt{\frac{2}{LR}}$$

# 1.3 Photon Bose-Einstein Condensate



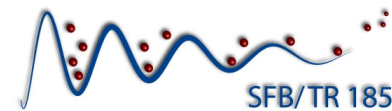
Klärns, Schmitt, Vewinger, and Weitz, Nature **468**, 545 (2010)

**Overview:** Pelster, Physik-Journal **10**, Nr. 1, 20 (2011)

Pelster, Physik-Journal **13**, Nr. 3, 20 (2014)

# On the open-dissipative nature of photon Bose-Einstein condensates

Axel Pelster

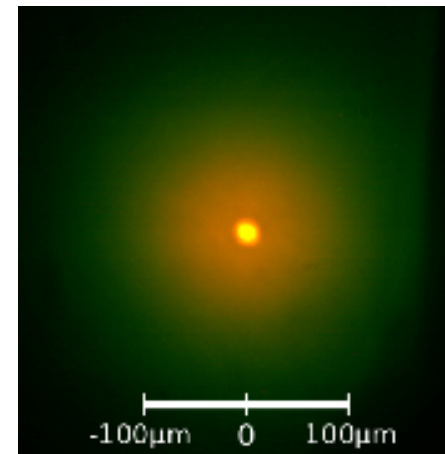


1. Introduction

2. Rate Equation Model

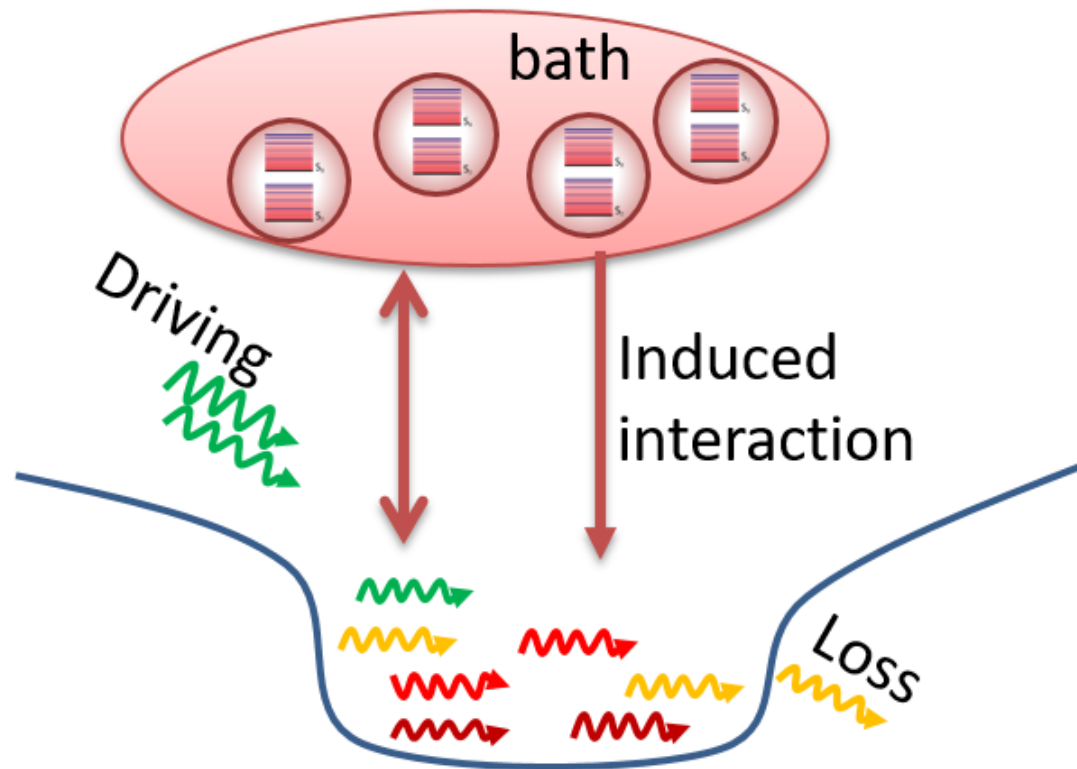
3. Vortex

4. Outlook



## 2.1 Microscopic Theory

- **Bath<sup>2</sup> model: Lindblad master equation**
- **Coherent and dissipative bath influences**
- **To be, or not to be BEC/LASER?**



Kirton and Keeling, Phys. Rev. Lett. **111**, 100404 (2013)

Radonjić, Kopylov, Balaž, and Pelster, New J. Phys. **20**, 055014 (2018)

## 2.2 Motivation

LETTER

doi:10.1038/nature09567

### Bose–Einstein condensation of photons in an optical microcavity

Jan Klaers, Julian Schmitt, Frank Vewinger & Martin Weitz

temperature) by multiple scattering with the dye molecules. Upon increasing the photon density, we observe the following BEC signatures: the photon energies have a Bose–Einstein distribution with a

## 2.2 Motivation

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PHYSICAL REVIEW A **100**, 043803 (2019)

Editors' Suggestion

#### Fluctuation dynamics of an open photon Bose-Einstein condensate

Fahri Emre Ozturk<sup>1,\*</sup>, Tim Lappe<sup>2,†</sup>, Göran Hellmann,<sup>1</sup> Julian Schmitt,<sup>1,‡</sup> Jan Klaers,<sup>1,§</sup> Frank Vewinger,<sup>1</sup> Johann Kroha,<sup>2</sup> and Martin Weitz<sup>1</sup>

<sup>1</sup>*Institut für Angewandte Physik, Universität Bonn, Wegelerstraße 8, 53115 Bonn, Germany*

<sup>2</sup>*Physikalisches Institut and Bethe Center for Theoretical Physics, Universität Bonn, Nussallee 12, 53115 Bonn, Germany*



(Received 12 June 2019; published 2 October 2019)

Bosonic gases coupled to a particle reservoir have proven to support a regime of operation where Bose-Einstein condensation coexists with unusually large particle-number fluctuations. Experimentally, this situation has been realized with two-dimensional photon gases in a dye-filled optical microcavity. Here we investigate theoretically and experimentally the open-system dynamics of a grand canonical Bose-Einstein condensate of photons. We identify a regime with temporal oscillations of the second-order coherence function  $g^{(2)}(\tau)$ , even though the energy spectrum closely matches the predictions for an equilibrium Bose-Einstein distribution and the system is operated deeply in the regime of weak light-matter coupling. The observed temporal oscillations are attributed to the nonlinear, weakly driven dissipative nature of the system, which leads to time-reversal symmetry breaking.

## 2.2 Motivation

LETTER

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(Received 12 June 2019; published 2 October 2019)

nature photonics

Article

<https://doi.org/10.1038/s41566-024-01478-z>

### Bose–Einstein condensation of photons in a vertical-cavity surface-emitting laser

Received: 10 August 2023

Accepted: 17 June 2024

Maciej Pieczarka<sup>1</sup>, Marcin Gębski<sup>2</sup>, Aleksandra N. Piasecka<sup>1</sup>,  
James A. Lott<sup>3</sup>, Axel Pelster<sup>4</sup>, Michał Wasiak<sup>2</sup> & Tomasz Czyszanowski<sup>2</sup>



to support a regime of operation where Bose-Einstein fluctuations. Experimentally, this situation has been optical microcavity. Here we investigate theoretically an anomalous Bose-Einstein condensate of photons. We study the order coherence function  $g^{(2)}(\tau)$ , even though the equilibrium Bose-Einstein distribution and the system is in a steady state. The observed temporal oscillations are attributed to the breaking of time-reversal symmetry.

(see Methods for details). The experimental energy distributions at different driving currents at  $T_{\text{sink}} = 20$  °C are shown in Fig. 3a. All data were successfully fitted with the BE distributions of equation (1) by assuming a negligible  $\Gamma$ . Additional verification of the BEC distribution

## 2.2 Motivation

LETTER

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Jan Klaers, Julian Schmitt, Frank Vewinger & Martin Weitz

PHYSICAL REVIEW A **100**, 043803 (2019)

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Fahri Emre Öztürk<sup>1</sup>, Jan Klaers,<sup>1,§</sup> Frank Vewinger,<sup>1</sup>

<sup>1</sup>Physikalisches Institut, Universität Bonn, Nussallee 12, 53115 Bonn, Germany

<sup>§</sup>Corresponding author: klaers@physik.uni-bonn.de

Published 2 October 2019

(temperature) by multiple scattering with the  
increasing the photon energy  
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nature photonics

**How Does the Non-equilibrium Affect the Condensate?**

<https://doi.org/10.1038/s41566-024-01478-z>

### Condensation of photons in a cavity surface-emitting laser

Received: 10 August 2023

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## 2.3 Rate Equations

$$N_\ell = g_\ell n_\ell = (\ell + 1)n_\ell$$

$$\partial_t N_\ell = [B_{21}(\omega_\ell)M_2 - B_{12}(\omega_\ell)M_1 - \Gamma_c] N_\ell + B_{21}(\omega_\ell)M_2$$

$$\partial_t M_2 = pM_1 - \Gamma_{nr}M_2 - \sum_{\ell=0}^{\infty} \{ [B_{21}(\omega_\ell)M_2 - B_{12}(\omega_\ell)M_1] N_\ell + B_{21}(\omega_\ell)M_2 \}$$

$$M = M_1 + M_2$$

$M = 10^{10}$

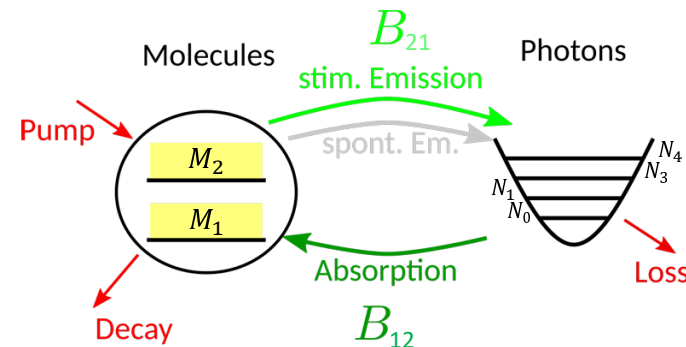
### Microscopic derivation:

- P. Kirton & J. Keeling, PRA **91**, 033826 (2015)
- M. Radonjić et alii, NJP **20**, 055014 (2018)
- E. Stein, PhD thesis (2022)

### Kennard-Stepanov (KS) relation:

$$\omega_\ell = 2\pi \cdot (500 \text{ THz} + \ell \cdot 40 \text{ GHz}) \quad \omega_{\text{ZPL}} = 2\pi \cdot 550 \text{ THz}$$

$$B_{12}(\omega_\ell) = B_{21}(\omega_\ell) e^{\hbar\beta(\omega_\ell - \omega_{\text{ZPL}})} \quad \beta = \frac{1}{k_B T} = \frac{1}{k_B \cdot 300 \text{ K}}$$



For  $\omega_\ell = \omega_{\text{ZPL}}$ :  $B_{12}(\omega_\ell) = B_{21}(\omega_\ell) \longrightarrow$  Laser regime!

## 2.4 Steady State

Photon rate equation in steady state: ( $\partial_t N_\ell = 0$ )

Open-dissipative BEC distribution

$$N_\ell = \frac{1}{e^{\beta(\hbar\omega_\ell - \mu)} - 1 + \frac{\Gamma_c}{B_{21}(\omega_\ell)M_2}}$$

$$\mu = \hbar\omega_{\text{ZPL}} - \frac{1}{\beta} \ln\left(\frac{M_1}{M_2}\right)$$

Chemical potential

- Emission time:  
 $t_{\text{EM}}^{(\ell)} = \frac{1}{B_{21}(\omega_\ell)M_2} \approx 10^{-11} \text{ s}$
- Photon life time:  
 $t_{\text{Ph}}^{(\ell)} = \frac{1}{\Gamma_c} \leq 10^{-9} \text{ s}$

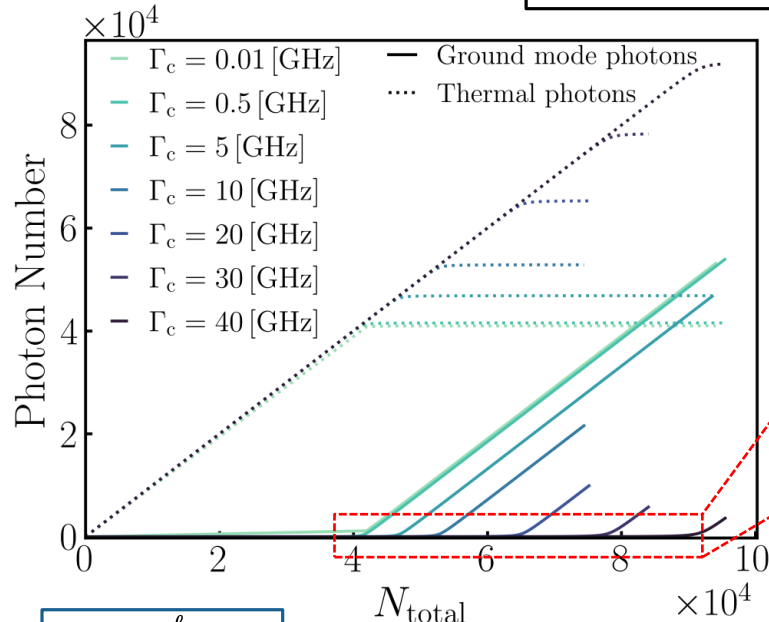
# 2.5 Open-Dissipative BEC Distribution

Consider:

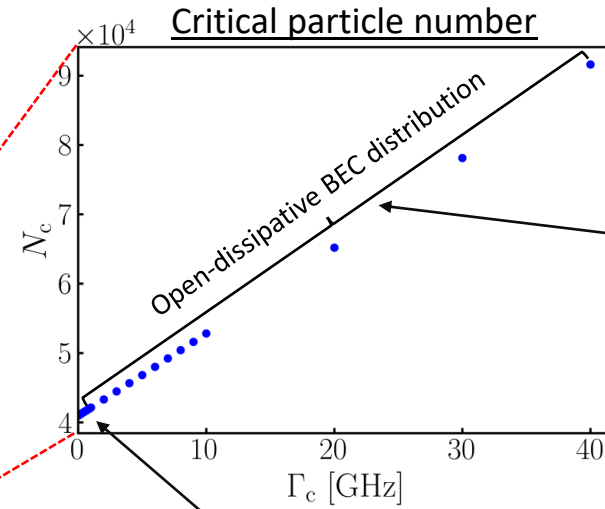
- $\Gamma_{nr} = 0$  MHz
- $\ell_{total} = 2000$

Increase of loss:

- Decrease of coherence
- Increase of decoherence



$$N_{total} = \sum_{\ell=0}^{\ell_{total}} N_{\ell}$$



Can This be Measured?

Numerically determined:  $N_c(\Gamma_c = 0.01 \text{ GHz}) \approx 40\,970$

Expectation for BEC distribution:

B. Klünder & A. Pelster, EPJ B **68**, 457-465 (2009)

$$N_c(\Gamma_c = 0 \text{ GHz}) \approx 40\,980$$

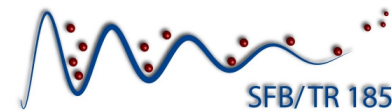
Krauβ, Stein, and Pelster, Europ. Phys. J. Spec. Top. (2026)

*In Memoriam Hermann Haken: Synergetics and Self-organisation in Complex Systems*

<https://doi.org/10.1140/epjs/s11734-026-02185-2>

# On the open-dissipative nature of photon Bose-Einstein condensates

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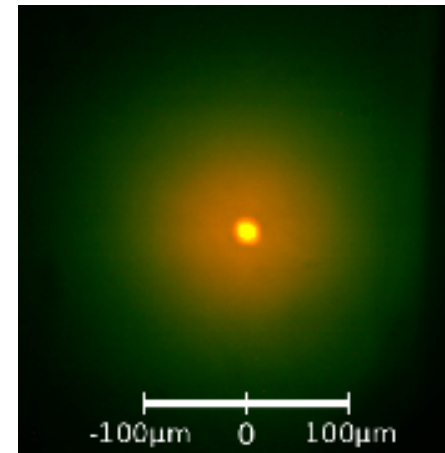


1. Introduction

2. Rate Equation Model

3. Vortex

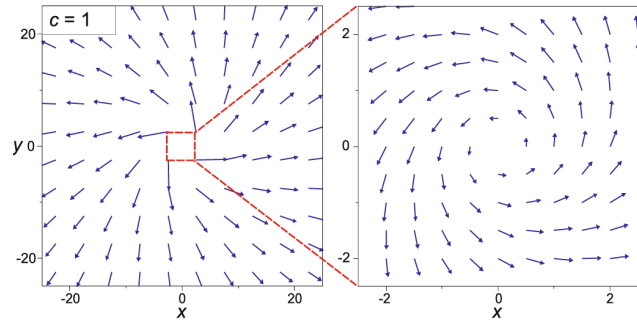
4. Outlook



# 3.1 Motivation

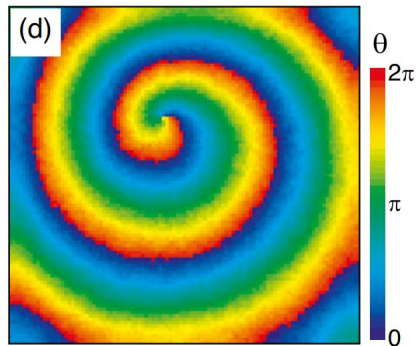
## Non-Equilibrium Quantum Fluids

V. N. Gladilin & M. Wouters, NJP **19**, 105005 (2017)



## Lattice of Photon BECs

V. N. Gladilin & M. Wouters, PRL **125**, 215301 (2020)



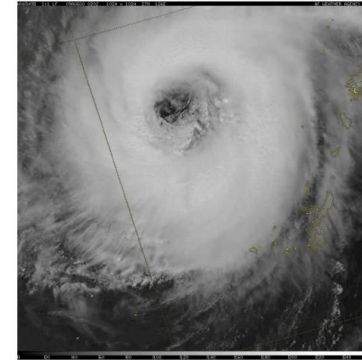
## Spiral Galaxies

F. Combes, ArXiv: 2302.12913 (2023)



## Tropical Cyclones

R. K. Smith, Lectures on Tropical Cyclones (2006)



How can we **analytically** describe vortices in open-dissipative systems?

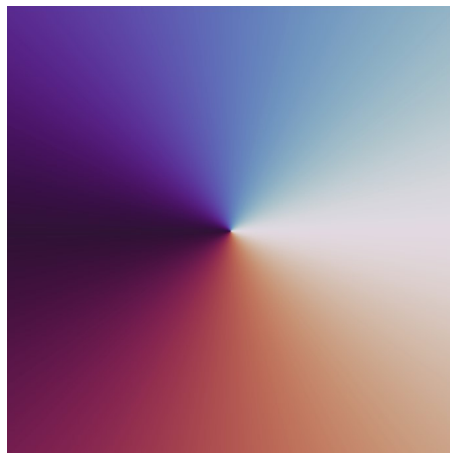
## 3.2 Phenomenological Model

- **Complex Gross-Pitaevskii equation:**

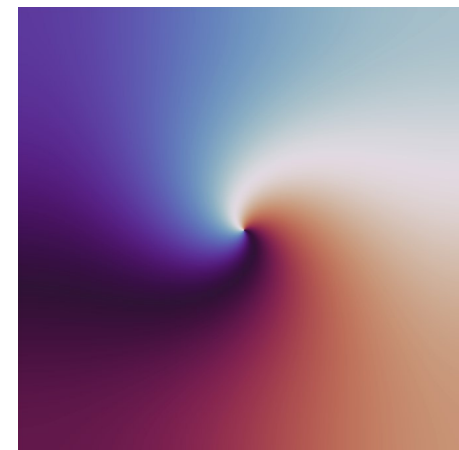
$$i\hbar \frac{\partial \psi}{\partial t} = \left[ -\frac{\hbar^2}{2m} \nabla^2 + \frac{M}{2} \omega^2 \mathbf{x}^2 + g |\psi|^2 + \frac{i}{2} (\gamma - \Gamma |\psi|^2) \right] \psi$$

Keeling and Berloff, PRL **100**, 250401 (2008)

- **Resulting phase portrait:**



**closed system**



**open system**

## 3.3 Analytic Approximation Methods

- **Variational optimization method:**

Pérez-García, Michinel, Cirac, Lewenstein, and Zoller,  
PRL **77**, 5320 (1996)

- **Cumulant optimization method:**

Mann, Bakhtiari, Pelster, and Thorwart, PRL **120**, 063605 (2018)  
Stein, Vewinger, and Pelster, NJP **21**, 103044 (2019)

- **Projection optimization method:**

- **Equation of motion:**  $\text{EOM}[\psi^*, \psi] = 0$

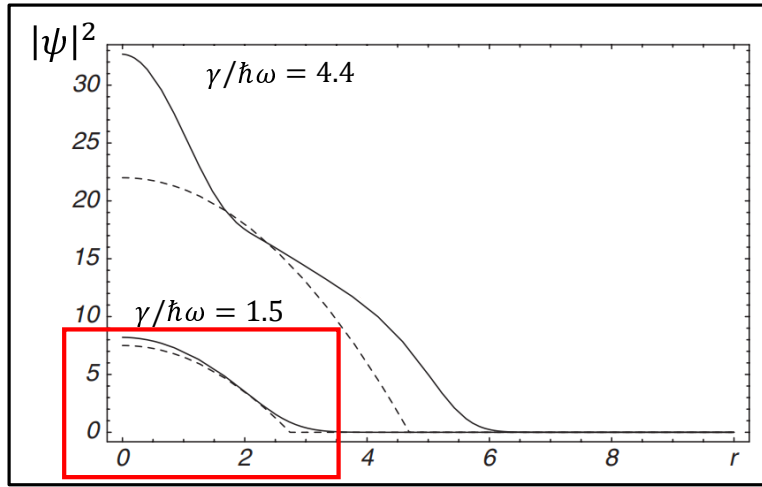
- **Wave function ansatz:**  $\psi(x) \approx \Psi(x, \alpha)$

- **Projection:**

$$\left\langle \text{EOM}^*[\Psi^*, \Psi], \frac{\partial \Psi^*}{\partial \alpha^i} \right\rangle + \left\langle \text{EOM}[\Psi^*, \Psi], \frac{\partial \Psi}{\partial \alpha^i} \right\rangle = 0$$

Krauß, dos Santos Filho, dos Santos, and Pelster, PRR **7**, 033007 (2025)

# 3.4 Single Vortex



Consider ansatz for single charged ( $\ell = \pm 1$ ) vortex:

[A. Cidrim et alii, PRA **98** (2018)]

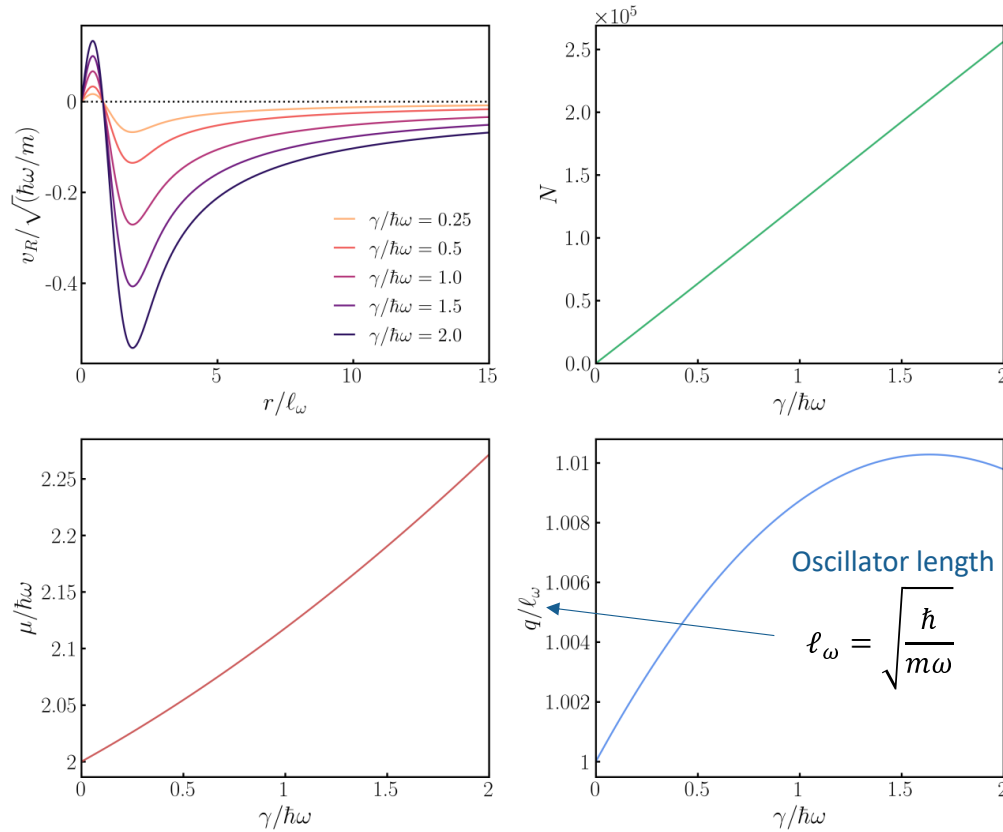
$$\begin{aligned}
 \mathbf{v}(r, \varphi) &= \frac{\hbar}{m} \nabla \phi(r, \varphi) && \text{Helmholtz decomposition} \\
 \psi(r, \varphi, t) &= \sqrt{n(r, t)} e^{i\phi(r, \varphi, t)} e^{-\frac{i}{\hbar}\mu(t)} = \sqrt{\frac{N(t)}{\pi q(t)^4}} r e^{-\frac{r^2}{2q(t)^2} + i[\ell\varphi + \varphi_R(r, t)]} e^{-\frac{i}{\hbar}\mu(t)} \\
 &\quad \uparrow \text{Madelung transformation}
 \end{aligned}$$

To be determined:

- Particle number  $N(t)$
- Chemical potential  $\mu(t)$
- Condensate width  $q(t)$
- Radial velocity  $v_R(r, t)$

# 3.5 Steady State

Solution of projection optimization equations:

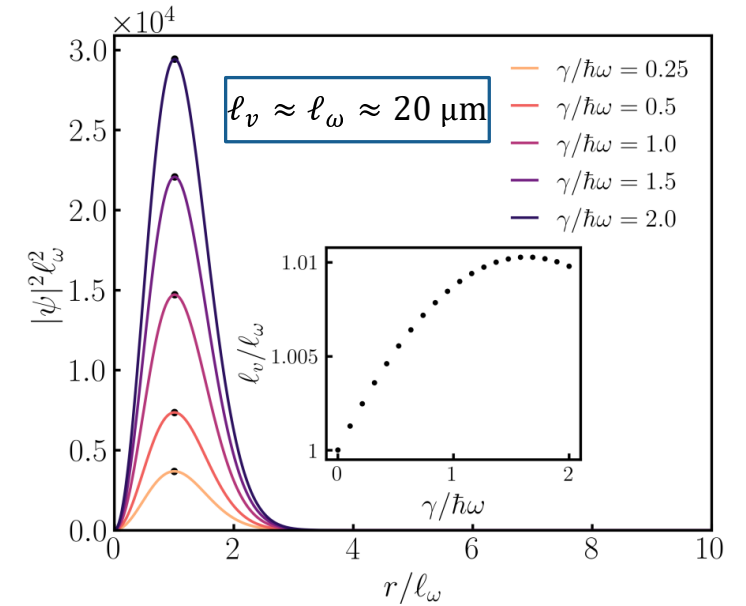


Fixed:

- $\Gamma = 10^{-4} \cong 10$  GHz
- $g = 10^{-5}$

Vortex core size  $l_v$ :

- Density reaches maximum value



# 3.6 Stability

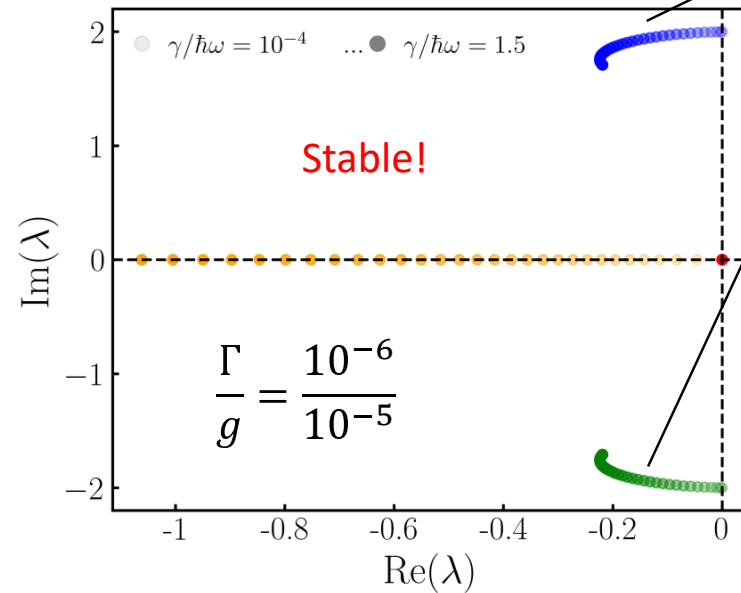
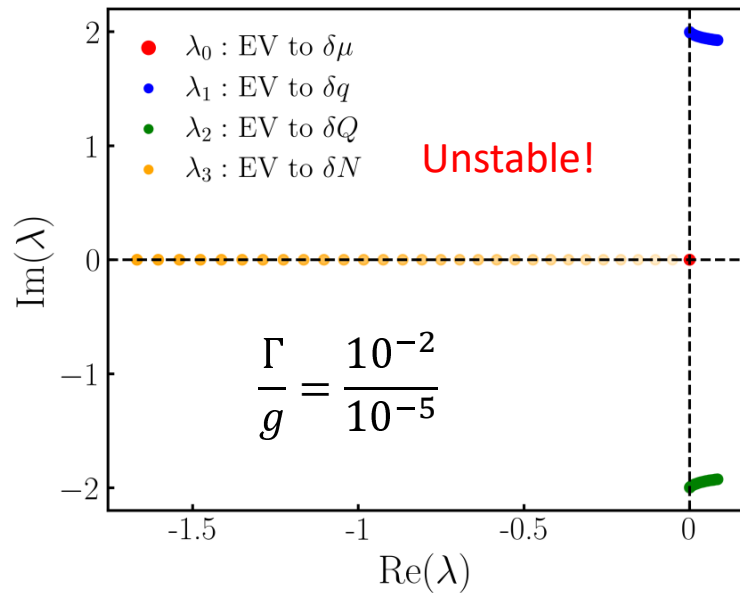
- Linearized dynamics:

$$\left. \begin{aligned} N(t) &= N_0 + \delta N(t) \\ q(t) &= q_0 + \delta q(t) \\ \mu(t) &= \mu_0 t + \delta \mu(t) \end{aligned} \right\} \rightarrow \partial_t \begin{pmatrix} \delta N \\ \delta q \\ \delta Q \\ \delta \mu \end{pmatrix} = A \cdot \begin{pmatrix} \delta N \\ \delta q \\ \delta Q \\ \delta \mu \end{pmatrix} \quad \delta Q = \partial_t \delta q$$

$A \in \text{Mat}(4 \times 4, \mathbb{R})$

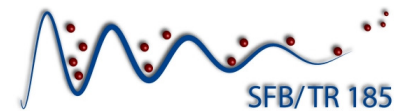
- Condensate lifetime:  $\approx 500$  ns
- Characteristic timescale:  $\mathcal{O}(1$  ns)

Eigenvalues of  $A$  for  $10^{-4} \leq \frac{\gamma}{\hbar\omega} \leq 1.5$ :



# On the open-dissipative nature of photon Bose-Einstein condensates

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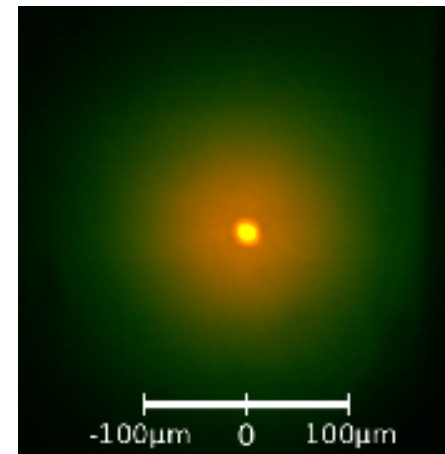


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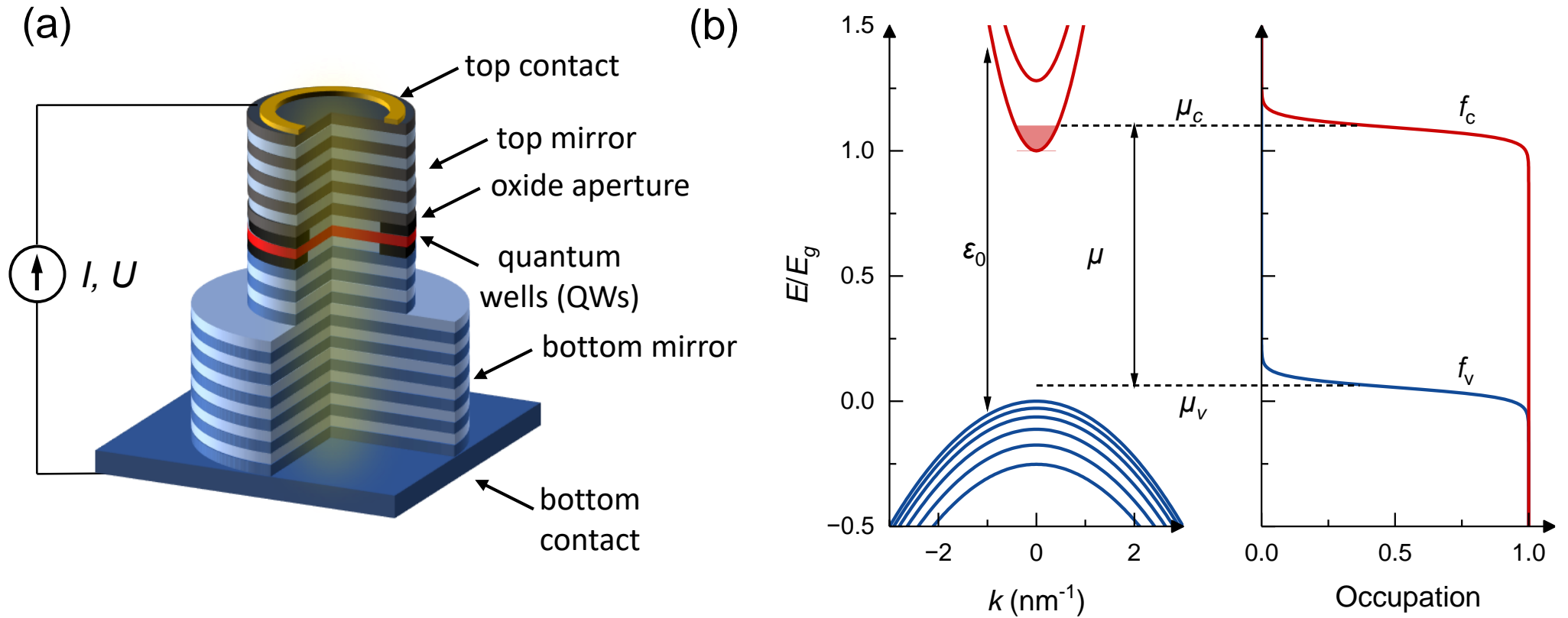
2. Rate Equation Model

3. Vortex

4. Outlook



# 4.1 Vertical-Cavity Surface-Emitting Laser



Pieczarka, Gebski, Piasecka, Lott, Pelster, Wasiak, and Czyszanowski,  
Nat. Phot. **18**, 1090 (2024)

## 4.2 Thermalization of photons

- **Rate equation for photon number:**

$$\frac{d}{dt}N(\varepsilon) = R_{\text{em}}(\varepsilon)[N(\varepsilon) + 1] - [R_{\text{abs}}(\varepsilon) + \gamma(\varepsilon)]N(\varepsilon)$$

- **Detailed balance between absorption and emission:**

$$\frac{R_{\text{abs}}(\varepsilon)}{R_{\text{em}}(\varepsilon)} = \exp\left(\frac{\varepsilon - \mu}{k_B T}\right), \quad \mu = \mu_c - \mu_v$$

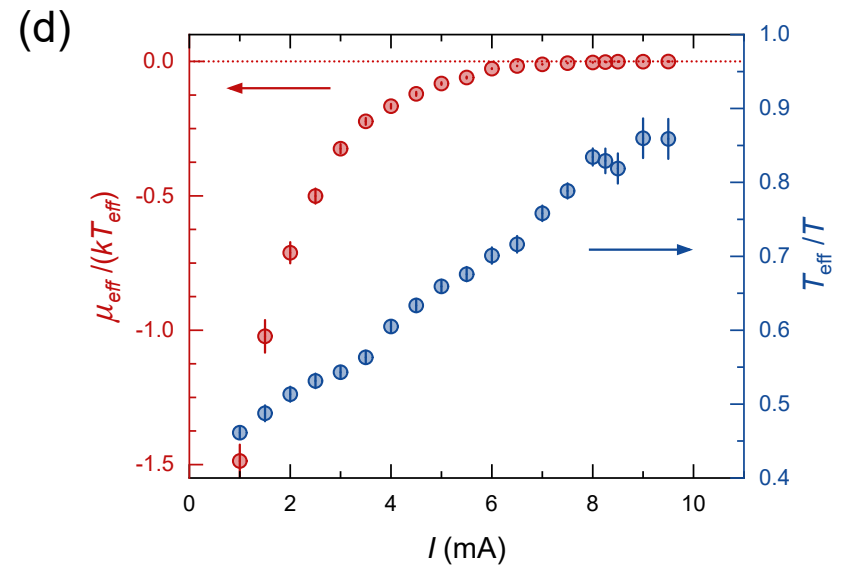
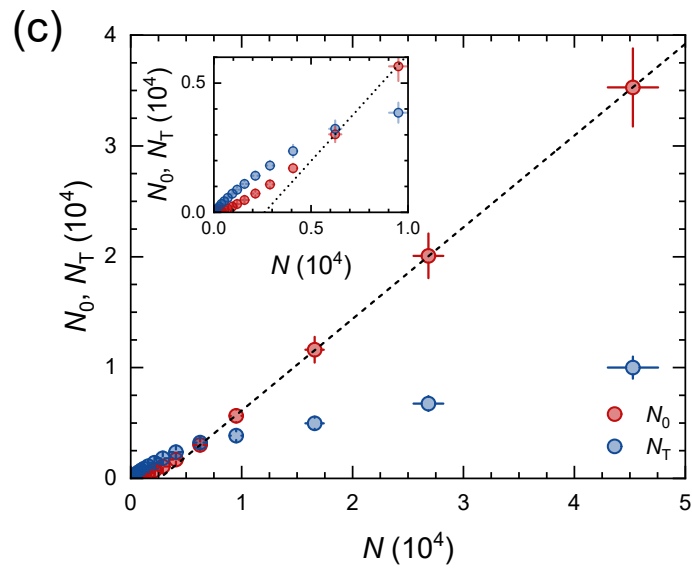
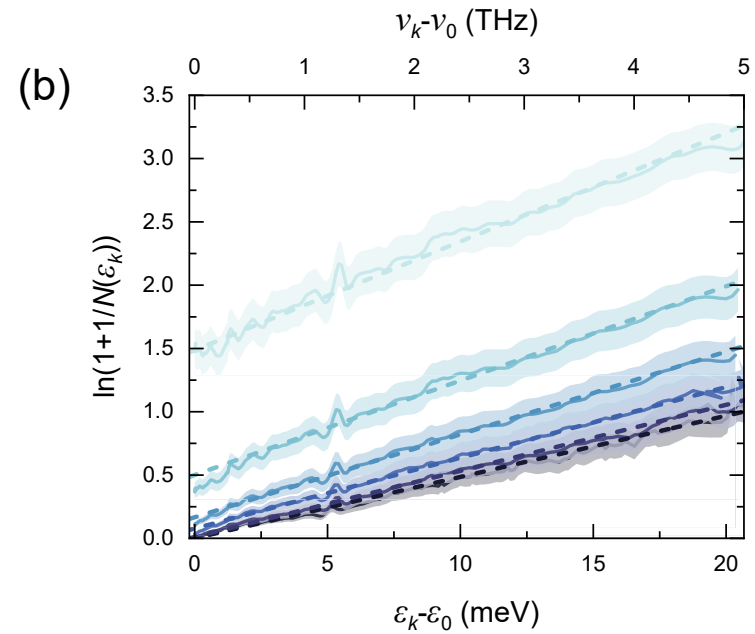
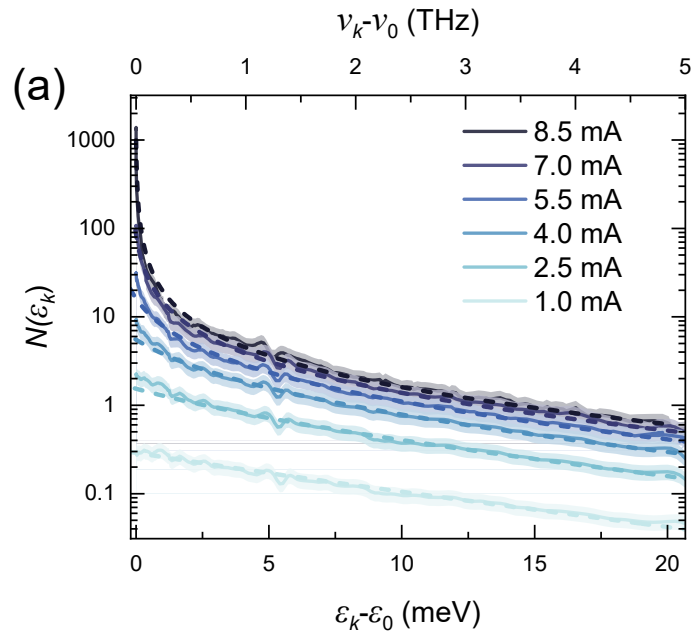
- **Steady-state photon distribution:**

$$N(\varepsilon) = \frac{1}{\exp\left(\frac{\varepsilon - \mu}{k_B T}\right) - 1 + \Gamma(\varepsilon)}$$

- **Correction parameter for fundamental mode**

$$\Gamma(\varepsilon_0) = \frac{\gamma(\varepsilon_0)}{R_{\text{em}}(\varepsilon_0)} \approx \frac{24 \text{ fs}}{3 \text{ ps}} = 0.008$$

# 4.3 Energy distributions and thermodynamic quantities



# 28.

28.09. - 30.09.

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P  
Rheinland-Pfälzische  
Technische Universität  
Kaiserslautern  
Landau

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