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Научном већу Института за физику у Београду

Предмет: Мишљење руководиоца лабораторије о избору др Бориса Ступовског у звање научни сарадник

Др Борис Ступовски је заинтересован за сарадњу са Лабораторијом за примену рачунара у науци, у оквиру Националног центра изузетних вредности за изучавање комплексних система Института за физику у Београду. У истраживачком раду бави се темама везаним за примену теорије комплексних мрежа у статистичкој физици. С обзиром да испуњава све предвиђене услове у складу са Правилником о стицању истраживачких и научних звања Министарства НИТРА, сагласан сам са покретањем поступка за избор др Бориса Ступовског у звање научни сарадник.

За састав комисије за избор др Бориса Ступовског у звање научни сарадник предлажем:

- (1) др Марија Митровић Данкулов, виши научни сарадник, Институт за физику у Београду,
- (2) др Мирослав Анђелковић, научни сарадник, Институт за нуклеарне науке "Винча",
- (3) др Антун Балаж, научни саветник, Институт за физику у Београду.

др Антун Балаж
научни саветник

Руководилац Лабораторије за примену рачунара у науци

1 БИОГРАФСКИ И СТРУЧНИ ПОДАЦИ О КАНДИДАТУ

Борис Ступовски је рођен 1988. године у Кикинди. 2007. године је завршио Гимназију у Кикинди као носилац Вукове дипломе. Исте године уписао је основне студије на Електротехничком факултету Универзитета у Београду. Почев од друге године студија почиње да се бави истраживањем електронске структуре полупроводничких хетероструктура под менторством Дејана Гвоздића. 2010. године паралелно уписује основне студије Физике на Физичком факултету универзитета у Београду, смер Теоријска и експериментална физика. Основне студије електротехнике на смеру Наноелектроника, Оптиелектроника и Ласерска техника завршава 2011. године са просечном оценом 9,21. Завршни рад под називом “Електронска структура низова квантних црта” урадио је под руководством Дејана Гвоздића. Основне студије физике завршава 2013. са просеком 9,79.

2013. године уписује Мастер студије на Физичком факултету Универзитета у Београду, смер Теоријска и експериментална физика. 2016. брани рад “Некомутативна гравитација на канонски деформисаном простор времену”, под руководством Воје Радовановића.

Борис Ступовски је 2016. као стипендиста уписао докторске студије на институту Scuola Internazionale Superiore di Studi Avanzati (SISSA), срп. - Интернационална школа за напредне студије у Трсту, Италији, из области математике, прецизније из Геометрије и Математичке физике. Тезу из области Риманове геометрије је написао под руководством Рафаела Тореса. Тезу под називом “Постојање Риманових метрика са позитивном биортогоналном кривином на просто повезаним петодимензионим многострукостима” је одбрани 2020.

2014. године Борис Ступовски ради као наставник физике у две средње школе у Кикинди. Током 2021. ради био је ангажован као програмер у Индијској ИТ компанији Zummit Infolabs. Од 2022. године је запослен као наставник Рачунарства и Информатике у средњој школи у Кикинди.

Борис Ступовски је аутор три рада објављена у међународним часописима. До сада је учествовао на више међународних конференција и летњих школа. 2019. је држао двадесетоминутну презентацију на другој ВУМАТ конференцији у Мадриду.

2 ПРЕГЛЕД НАУЧНЕ АКТИВНОСТИ

Током свог досадашњег научно-истраживачког рада, Борис Ступовски је радио на темама из примене метода статистичке физике, теорије кондензованог стања и нумеричких симулација у анализи електронске структуре полупроводничких хетероструктура. Поред тога, током докторских студија из математике, Борис се бавио конструисањем нових примера вишедимензионих Риманових многострукости са позитивном кривином применом количника Лијевих група, деформацијом Риманових метрика дуж орбита дејства група и конформним деформацијама Риманове метрике. Његов истраживачки рад се може груписати у следеће две теме:

- Електронска структура у дводимензионој полупроводничкој хетероструктури званој квантна црта
- Конструкција вишедиомензионих Риманових многострукости са позитивном кривином

2.1. Електронска структура у дводимензионој полупроводничкој хетероструктури званој квантна црта

Због једноставног технолошког процеса раста, само-састављене полупроводничке наноструктуре су занимљиве, посебно њихова електронска и оптичка својства. Најзанимљивија примена ових структура је за полупроводничке ласере и оптичке појачиваче, где се оне користе за активну област. Један од нових представника ових структура је квантна црта, дводимензиона структура налик на квантну жицу. Квантне црте нарастају у ансамблу у којем има доста варијације у димензијама појединачне црте, што утиче на зонску структуру, електронске и оптичке особине. Да би се анализирао ансамбл квантних црта потребно је развити ефикасан метод рачунања зонске структуре, који може да укључи неправилан попречни пресек појединачне квантне црте.

За одређивање зонске структуре квантне црте коришћена је једноелектронска апроксимација и апроксимација анвелопних функција. Пошто је квантна црта дугачка по једној дужини, ова апроксимација се своди на решавање дводимензионе Шредингерове једначине са просторно зависном ефективном масом. Маса и потенцијали електрона су различити у црти, која се састоји од једног полупроводника, и омотачу који је сачињен од другог. Једначина се не може решити аналитички због неправилног попречног пресека квантне црте, који изгледа као издужени Гаусијан, или троугао. Метод коначних елемената захтева формирање триангулације, па смо га избегли и користили смо метод коначних разлика. Метод коначних разлика је једноставнији и бржи од метода коначних елемената, али због тога што му је мрежа дискретизације квадратна не може лепо да опише неравне границе између полупроводника. Да бисмо решили овај проблем искористили смо трансформацију координата, тако да су нам границе полупроводника у пресликаном простору правоугаоне и тиме прилагођене методу коначних разлика. Ово смо платили тиме што је једначина коју решавамо постала компликованија. Кандидат је у Matlab-у написао програм за решавање ове једначине и на основу резултата симулација анализирао како димензије појединачне квантне црте утичу на зонску структуру црте.

Када смо били сигурнији у метод и резултате симулација у случају изоловане црте, прешли смо на анализу, у теорији, бесконачног низа квантних црта наслаганих једна поред друге. Ради анализе, предпоставили смо да су све црте у идентичне. Ово нам је омогућило да анализирамо цео низ црта посматрањем само једне, основне ћелије, са периодичним граничним условима. Да бисмо испоштовали периодичност структуре, користили смо одговарајући периодичне функције за смену координата и поновили нумеричке симулације. Овог пута смо анализирали како границе минizona зависе од димензија квантне црте. Њене висине, ширине, растојања између две суседне црте, односно периода, као и од дебљине влажећег слоја који спаја суседне црте. Учили смо интересантно преплитање минizona за одређене вредности растојања између суседних црта. Кандидат је радио нумеричке симулације и анализу резултата.

Описано истраживање и резултати објављени су у следећим радовима:

1. Application of coordinate transformation and finite differences method in numerical modeling of quantum dash band structure

B.M. Stupovski, J.V. Crnjanski, D.M. Gvozdić

Computer Physics Communications 182 (2011) 289–298, M21a

2. Miniband electronic structure of quantum dash array
B. M. Stupovski, J. V. Crnjanski, and D. M. Gvozdić
Journal of Applied Physics 112, 123716 (2012), M21

2.2. Конструкција вишедиомензионих Риманових многострукости са позитивном кривином

Риманове многострукости ненегативне и позитивне секцијске кривине су проучаване од почетка Риманове геометрије. Има много примера са ненегативном кривином, они су затворени на узимање производа и биквотијента. Супротно овоме, многострукости са позитивном кривином су ретке. Поред сфера и пројективних простора, не постоје познати примери у димензијама већим од 24. Даље, сем у димензијама 7 и 14, у свакој димензији постоји само коначно много примера до на дифеоморфизам. Ово сугерише да постоје обструкција за прелаз са ненегативне кривине на позитивну кривину, али ни једна обструкција овог типа није позната. Скоро пре 100 година Хопф је предпоставио да Декартов производ две дводимензионе сфере који дозвољава метрику ненегативне кривине, не дозвољава метрику позитивне кривине. Иако постоји доста делимичних резултата, ова предпоставка није решена. У том циљу Бетиол уводи појам растојајне кривине и као његов посебан случај биортогоналну кривину. Позитивност растојајне кривине је слабији услов од позитивности кривине, па је за веровати да има више примера са овом особином него са особином позитивности секцијске кривине. Бетиол показује да постојање метрике са позитивном растојајном кривином на декартовом производу две дводимензионе сфере и даље, коришћењем резултата тополошке хирургије даје класификацију просто повезаних четвородимензионих многострукости са позитивном биортогоналном кривином.

Кандидат у својој тези настаља конструкцију метрика са позитивном растојајном кривином и позитивном биортогоналном кривином на петодимензионим многострукостима. Растојајна кривина је минимум аритметичке средине секцијских кривина равни које нису удањене у простору равни више од неког угла. Позитивност растојајне кривине зависи од тог угла и најјача је када овај угао тежи нули, док је најслабија када је овај угао деведесет степени, што је случај када је у питању биортогонална кривина. У тези кандидат доказује да декартов производ тродимензионе сфере са дводимензионом дозвољава Риманову метрику са позитивном растојајном кривином за било који угао већи од нуле. Затим, коришћењем структурних теорема за просто повезане петодимензионе многострукости и затворености позитивности биортогоналне кривине на повезане суме, кандидат доказује да све затворене, просто повезане, петодимензионе многострукости са тривијалном Стиефел-Витнијевом класом и хомологијом без торзије дозвољавају метрику са позитивном биортогоналном кривином. Кандидат је такође конструсао метрику са позитивном биортогоналном кривином на затвореној, просто повезаној, петодимензионој многострукости са тривијалном Стиефел-Витнијевом класом, али нунултом торзијом у хомологији, на такозваној Ву-овој многострукости, квотијенту специјалне унитарне групе три пута три матрица и њене подгрупе, специјалне ортогоналне групе три пута три матрица. Овај резултат одговара негативно на питање да ли је торзија обструкција за позитивну биортогоналну кривину.

Конструкција метрике на са позитивном растојајном кривином на декартовом производу се тродимензионе сфере и дводимензионе сфере се састоји из више корака. Почне се од декартовог производа две тродимензионе сфере са производом округлих метрика. Ова многострукост је Лијева група, што нам омогућава да Вилкинговим триком дуплирања добијемо “закривљенију” метрику, која је и даље са ненегативном секцијском кривином, али са мање равни нулте кривине. Затим се количником дејства једнодимензионе подгрупе прелази на жељену многострукост, производ тродимензионе сфере са дводимензионом. Овај количник је Риманова субмерзија, што значи да је сурјекција која поштује глатку и метричку структуру. На основу О’Нилових формула индуквана метрика на производу има ненегативну секцијску кривину, али не и позитивну растојајну кривину, па се она даље конформно деформише, дужине се скалирају различитим количинама у различитим тачкама, одржавајући при том углове између вектора. Овако добијена метрика на производу тродимензионе сфере и дводимензионе сфере има позитивну растојајну кривину. Резултат за позитивну растојајну кривину се не генерализује на друге петодимензионе многострукости, али се слабији резултат, позитивност биортогоналне кривине, генерализује на све многострукости које се могу добити као повезана сума копија производа тродимензионе и дводимензионе сфере и Ву-ове

многострукости. На Ву-овој многострукости метрика индукована количником од специјалне унитарне групе са биинваријантном метриком већ има позитивну биортогоналну кривину. Описана истраживања објављена су у следећем раду, који је заправо сажетак резултата кандидатове тезе:

1. Existence of Riemannian metrics with positive biorthogonal curvature on simply connected 5-manifolds

Boris Stupovski & Rafael Torres

Archiv der Mathematik volume 115, 589–597 (2020), M23

3 ЕЛЕМЕНТИ ЗА КВАЛТИТАТИВНУ ОЦЕНУ НАУЧНОГ ДОПРИНОСА КАНДИДАТА

3.1. Квалитет научних резултата

3.1.1. Научни ниво и значај резултата, утицај научних радова

Др Борис Ступовски је у свом досадашњем раду дао кључни допринос у укупно 3 рада сва три објављена у међународним часописима са ISI листе. Од тога је 1 у М21а категорији (међународни часописи изузетних вредности), 1 у М21 категорији (врхунски међународни часописи) и 1 у М23 категорији.

Најзначајнији рад кандидата је

Application of coordinate transformation and finite differences method in numerical modeling of quantum dash band structure

B.M. Stupovski, J.V. Crnjanski, D.M. Gvozdić

Computer Physics Communications 182 (2011) 289–298, M21a

DOI: <https://doi.org/10.1016/j.cpc.2010.09.014>

У овом раду, кандидат је имплементирао комбинацију смене координата и метода коначних разлика у циљу решавања дводимензионе Шредингерове једначине са ефективном масом. Решавана једначина описује електронску структуру квантне црте у апроксимацијама једног електрона и анвелопних функција. Поред имплементације, кандидат је тестирао нумерички метод и показао његову конвергенцију. Променом параметара смене координата може се реализовати велики број различитих попречних пресека. Кандидат је истраживао зависност електронске структуре квантне црте од њених димензија и облика. Овај рад је значајан јер је показао да се електронска структура квантне црте може добити ефикасно методом коначних разлика без обзира на неправилан попречни пресек ове хетероструктуре.

3.1.2. Цитираност научних радова кандидата

Према подацима о цитираности аутора изведених из базе Web of Science 24. 04. 2023. године, радови чији је кандидат коаутор цитирани су 10 пута, од чега 9 пута без аутоцитата, а Хиршов индекс је 2.

3.1.3. Параметри квалитета радова и часописа

У категорији М21а, М21 и М23 кандидата је објавила радове у следећим часописима:

1 рад у Computer Physics Communications (ИФ = 3.268),

1 рад у Journal of Applied Physics (ИФ = 2.210),

1 рад у Archiv der Mathematik (ИФ=0.608),

Укупан фактор утицаја радова кандидата је 6.086.

Додатни библиометријски показатељи у вези са објављеним радовима кандидата су дати у табели доле. Она садржи импакт факторе (ИФ) радова, М20 бодове радова по категоризацији научноистраживачких резултата, као и импакт фактор нормализован по импакту цитирајућег чланка (СНИП) (најбоља вредност из периода до две године уназад од објаве рада). У табели су дате укупне вредности, као и вредности свих фактора усредњених по броју чланака и по броју аутора по чланку, за радове објављене у М20 категоријама.

	ИФ	М	СНИП
Укупно	6.086	21	0.85
Усредњен по чланку	2.029	7	0.85
Усредњен по аутору	2.13	7.5	0.85

3.1.4. Степен самосталности и степен учешћа у реализацији радова у научним центрима у земљи и иностранству

Кандидат је почео са истраживачким радом на Електротехничком факултету Универзитета у Београду док је био студент основних студија. Ово је резултовало два публикацијама, где је кандидат водећи аутор. При изради ових публикација кандидат је учествовао у конкретној формулацији проблема, развоју метода, конструкцији и нумеричким симулацијама теоријских модела. Кандидат је затим наставио истраживачки рад на институту SISSA у Италији. Ово је резултовало једном публикацијом где је кандидат водећи аутор. У овој публикацији, кандидат је учествовао у формулацији проблема, развоју и примени рачунског метода, као и писању самог рада.

3.1.5. Елементи применљивости научних резултата

Само-организоване полупроводне квантне наноструктуре последње две деценије привлаче јако пуно пажње пре свега због њихових електричних и оптичких особина. Са технолошког аспекта њихова највећа предност је њихов само-организовани процес настајања, што значи да не захтевају додатне технике генерисања. Њихова најчешћа примена је у вези са полупроводничким ласерима и оптичким појачивачима. Један од најинтересантнијих представника породице само-организованих полупроводника су квантне цртице које су полупроводничке нано-структуре које личе на жице. Резултати кандидата су фокусирани на моделирање и разумевање електричних особина квантних цртица. Због само-организованог раста, квантне цртице варирају у ширини, висини и дужини, па самим тим варирају и њихове електричне особине. Један од резултата кандидата представља једноставан модел раста ових наноструктура, самим тим омогућава њихову једноставну класификацију, предиктивност процеса раста, као и зависност електричних особина од димензија квантних цртица.

3.2. Нормирање броја коауторских радова, патената и техничких решења

Кандидат је објавио 3 рада М20 категорије. Имајући у виду да су сви радови кандидата теоријски радови и да имају 3 или мање коаутора, сваки рад се рачуна са пуном тежином. Укупан број М бодова кандидата је 21.

3.3. Утицај научних резултата

Утицај научних резултата огледа се у подацима о цитираности, наведеним у секцији 3.1.2.

Кандидат је резултате својих колаборација презентовао на интернационалној конференцији:

1. 2nd BYMAT Conference - Bringing Young Mathematicians Together, May 20-24 2019, Madrid, Spain

3.4. Конкретан допринос кандидата у реализацији радова у научним центрима у земљи и иностранству

У прва два објављена рада кандидат је дао кључни допринос у погледу концептуализације и имплементације нумеричког метода, примени тог метода, као и аналитичких решења потребних за реализацију поменутог метода. У трећем објављеном раду кандидат је развио аналитичке формуле и искористио их да докаже резултат рада. Кандидат је значајно допринео писању овог рада. Кандидат је досадашње научне активности обављао на Електротехничком Универзитета у Београду и институту SISSA у Италији.

3.5 Уводна предавања на конференцијама, друга предавања и активности

1. Boris Stupovski

Five-dimensional manifolds with positive biorthogonal curvature

2nd BYMAT Conference - Bringing Young Mathematicians Together, May 20-24 2019, Madrid, Spain

4 ЕЛЕМЕНТИ ЗА КВАНТИТАТИВНУ ОЦЕНУ НАУЧНОГ ДОПРИНОСА КАНДИДАТА

Остварени резултати у периоду након одлуке Научног већа о предлогу за стицање претходног научног звања :

Категорија	М бодова по раду	Број радова	Укупно М бодова	Нормирани број М бодова
M21a	10	1	10	10
M21	8	1	8	8
M23	3	1	3	3
M64	0.2	1	0.2	0.2
M70	6	1	6	6

Поређење са минималним квантитативним условима за реизбор у звање научни саветник :

Минимални број М бодова	Неопходно	Остварено, број М бодова (са и без нормирања)
Укупно	16	27.2
M10+M20+M31+M32+M33+M41+M42+M90	10	21
M11+M12+M21+M22+M23	6	21

Према подацима о цитираности аутора изведених из базе Web of Science 24. 04. 2023. године, радови чији је кандидат коаутор цитирани су 10 пута, од чега 9 пута без ауоцитата, а Хиршов индекс је 2.

5 СПИСАК РАДОВА ДР БОРИСА СТУПОВСКОГ

Радови у међународним часописима изузетних вредности (M21a)

1. Application of coordinate transformation and finite differences method in numerical modeling of quantum dash band structure

B.M. Stupovski, J.V. Crnjanski, D.M. Gvozdić

Computer Physics Communications 182 (2011) 289–298, ИФ = 3.268 за 2011. год.

Радови у врхунским међународним часописима (M21)

2. Miniband electronic structure of quantum dash array

B. M. Stupovski, J. V. Crnjanski, and D. M. Gvozdić

Journal of Applied Physics 112, 123716 (2012), ИФ = 2.210 за 2012. год.

Радови у међународним часописима (M23)

1. Existence of Riemannian metrics with positive biorthogonal curvature on simply connected 5-manifolds

Boris Stupovski & Rafael Torres

Archiv der Mathematik volume 115, 589–597 (2020), ИФ = 0.608 за 2020. год.

Саопштења са међународних скупова штампана у изводу (M64)

1. Boris Stupovski

Five-dimensional manifolds with positive biorthogonal curvature

2nd BYMAT Conference - Bringing Young Mathematicians Together, May 20-24 2019, Madrid, Spain, pp.20



SISSA

Scuola
Internazionale
Superiore di
Studi Avanzati

La Scuola Internazionale
Superiore di Studi Avanzati
conferisce a

Boris Stupovski

nato a Kikinda (Serbia) il 12 ottobre 1988

che ha superato *cum laude* l'esame generale il 30 luglio 2020, quale riconoscimento del valore scientifico e della originalità del suo lavoro di ricerca documentati dalla dissertazione finale scritta intitolata

Existence of Riemannian metrics with positive biorthogonal curvatures on simply connected 5-manifolds

Il titolo di

Philosophiae Doctor

in

Fisica Matematica e Geometria

equipollente al titolo di "Dottore di Ricerca in Matematica" ai sensi dell'art. 1, comma 4 dello Statuto della SISSA, pubblicato sulla Gazzetta Ufficiale n. 36 del 13.02.2012

Trieste, 17 gennaio 2023

Il Direttore,
Prof. Andrea Romanino



APOSTILLE

Convention de La Haye
du 5 octobre 1961

1. Stato: **REPUBBLICA ITALIANA**
Il presente atto pubblico
2. è stato sottoscritto da **Prof. Andrea ROMANINO**
3. agente in qualità di **Direttore**
4. è segnato dal contrassegno/timbro di **SISSA di Trieste**

ATTESTATO

5. a **Trieste**
6. il **20 gennaio 2023**
7. da **U.T.G. Prefettura di Trieste**
8. sotto il n° **2023 - 0003987**
9. contrassegno/timbro
10. Firma



[Signature]
IL FUNZIONARIO ASSISTENTE SOCIALE
(dot. **Vincenzo DELL'ERBA**)



Prefettura Trieste
Prot. Uscita del 20/01/2023
Numero: **0003987**
Classifica: **03.16**





Република Србија
АГЕНЦИЈА ЗА КВАЛИФИКАЦИЈЕ

Београд, Мајке Јевросиме 51

Број: 612-03-351/2023-03

27.03.2023.године

МК

На основу члана 38. и члана 5. став 1. тачка 10. Закона о Националном оквиру квалификација Републике Србије („Сл. гласник РС”, бр. 27/18 и 6/20), члана 131. став 1. Закона о високом образовању („Сл. гласник РС”, бр. 88/17, 27/18 – др. Закон, 73/18, 67/19, 6/20 и 129/2021 - др. закон), и члана 136. став 1. Закона о општем управном поступку („Сл. гласник РС”, бр. 18/16 и 95/18 – Аутентично тумачење и 2/23, одлука УС), решавајући по захтеву Бориса Ступовског из Кикинде, Република Србија, за признавање високошколске исправе издате у Републици Италији, ради запошљавања,

директор Агенције за квалификације доноси

РЕШЕЊЕ

1. Диплома издата 17.01.2023. године од стране Међународне високе школе напредних студија (Scuola Internazionale Superiore di Studi Avanzati), Трст, Република Италија, на име Борис Ступовски, рођен 12.10.1988. године у Кикинди, о завршеним докторским студијама високог образовања у четворогодишњем трајању, студијски програм: Математичка физика и геометрија, дисертација: „Постојање Риманових метрика са позитивном биортогоналном кривином на просто повезаним петодимензионалним многострукостима”, звање/квалификација: Dottore di Ricerca in matematica/Доктор наука-математичке науке (на основу превода овлашћеног судског тумача за италијански језик), признаје се као диплома докторских академских студија трећег степена високог образовања (180 ЕСПБ), у оквиру образовно-научног поља: Природно-математичке науке, научна односно стручна област: Математичке науке, која одговара нивоу 8 НОКС-а, ради запошљавања.
2. Ово решење омогућава имаоцу општи приступ тржишту рада у Републици Србији, али га не ослобађа од испуњавања посебних услова за бављење професијама које су регулисане законом или другим прописом.
3. Превод звања/квалификације из тачке 1. диспозитива овог решења које је са оригиналне стране јавне исправе превео овлашћени судски тумач за италијански језик, не представља стручни, академски, научни односно уметнички назив који у складу са чланом 12. ставом 1. тачка 9. Закона о високом образовању, утврђује Национални савет за високо образовање.

Образложење

Агенцији за квалификације обратио се Борис Ступовски из Кикинде, Република Србија, захтевом од 12.03.2023. године за признавање дипломе Међународне високе школе напредних студија, Трст, Република Италија, докторске студије високог образовања у четворогодишњем трајању, студијски програм: Математичка физика и геометрија,

дисертација: „Постојање Риманових метрика са позитивном биортогоналном кривином на просто повезаним петодимензионалним многострукостима”, звање/квалификација: *Dottore di Ricerca in matematica*/Доктор наука-математичке науке, ради запошљавања.

Уз захтев, подносилац захтева доставио је:

- 1) копију дипломе издате 17.01.2023. године од стране Међународне високе школе напредних студија, Трст, Република Италија, студијски програм: Математичка физика и геометрија, звање/квалификација: *Dottore di Ricerca in matematica*/Доктор наука-математичке науке;
- 2) оверени превод дипломе на српски језик;
- 3) копију и оверени превод транскрипта испита;
- 4) примерак дисертације на изворном језику;
- 5) апстракт докторског рада;
- 6) радну биографију;
- 7) списак научних радова;
- 8) копију дипломе број 12668300, издате 06.10.2021. године од стране Универзитета у Београду, Физичког факултета, Београд, Република Србија, студијски програм: Теоријска и експериментална физика, звање/квалификација: Мастер физичар;
- 9) копију дипломе број 12671000, издате 06.10.2021. године од стране Универзитета у Београду, Физичког факултета, Београд, Република Србија, студијски програм: Теоријска и експериментална физика, звање/квалификација: Дипломирани физичар;
- 10) копију личне карте;
- 11) пријавни формулар;
- 12) доказ о уплати накнаде за професионално признавање

Одредбом члана 136. став 1. Закона о општем управном поступку прописано је да се решењем одлучује о праву, обавези или правном интересу странке.

Одредбом члана 38. став 1. Закона о Националном оквиру квалификација Републике Србије прописано је да захтев за професионално признавање заинтересовано лице подноси Агенцији. Ставом 2. наведеног члана прописано је да професионално признавање врши ENIC/NARIC центар, као организациони део Агенције, по претходно извршеном вредновању страног студијског програма, у складу са овим и законом који уређује високо образовање. Ставом 3. наведеног члана прописано је да вредновање страног студијског програма из става 2. овог члана, уколико међународним уговором није предвиђено другачије, врши се на основу врсте и нивоа постигнутих компетенција стечених завршетком студијског програма, узимајући у обзир систем образовања, односно систем квалификација у земљи у којој је високошколска исправа стечена, услова уписа, права која проистичу из стране високошколске исправе у земљи у којој је стечена и других релевантних чињеница, без разматрања формалних обележја и структуре студијског програма, у складу са принципима Конвенције о признавању квалификација из области високог образовања у европском региону ("Службени лист СЦГ - Међународни уговори", број 7/03), као што је уређено и одредбом члана 131. став 1. Закона о високом образовању. Ставом 4. наведеног члана прописано је да решење о професионалном признавању посебно садржи: назив, врсту, степен и трајање (обим) студијског програма, односно квалификације, који је наведен у страног високошколској исправу - на изворном језику и у преводу на српски језик и научну, уметничку, односно стручну област у оквиру које је остварен студијски програм, односно врсту и ниво квалификације у Републици Србији и ниво НОКС-а којем квалификација одговара. Ставом 5. наведеног члана прописано је да директор Агенције доноси решење о професионалном признавању у року од 60 дана од дана пријема уредног захтева. Ставом 6. наведеног члана прописано је да решење из става 4. овог члана не ослобађа имаоца од испуњавања посебних услова за обављање одређене професије прописане посебним законом. Ставом 7. наведеног члана прописано је да је решење о професионалном признавању коначно. Ставом 8. наведеног члана прописано је да изузетно од става 3. овог члана, уколико је високошколска исправа стечена на једном од првих 500 универзитета рангираних на једној од последње објављених међународних листа рангирања универзитета у свету Shanghai

ranking consultancy (Шангајска листа), US News and World Report Ranking (листа рејтинга US News and World Report) или The Times Higher Education World University Rankings (Тајмска листа рејтинга светских универзитета) решење о професионалном признавању доноси се без спровођења поступка вредновања страног студијског програма из става 2. овог члана у року од осам дана од дана пријема уредног захтева. Ставом 9. наведеног члана прописано је да се, уколико није другачије прописано, на поступак професионалног признавања примењује закон којим се уређује општи управни поступак. Ставом 10. наведеног члана прописано је да решење о професионалном признавању има значај јавне исправе. Ставом 11. наведеног члана прописано је да ближе услове у погледу начина спровођења поступка професионалног признавања прописује министар надлежан за послове образовања.

Одредбом члана 5. став 1. тачка 10. Закона о Националном оквиру квалификација Републике Србије, прописано је да се осми ниво стиче завршавањем докторских студија обима 180 ЕСПБ бодова (уз претходно завршене интегрисане академске, односно мастер академске студије).

Одлучујући о захтеву подносиоца, извршено је вредновање страног студијског програма на основу врсте и нивоа постигнутих компетенција стечених завршетком студијског програма, узимајући у обзир систем образовања, односно систем квалификација у земљи у којој је високошколска исправа стечена, услова уписа, права која проистичу из стране високошколске исправе у земљи у којој је стечена и других релевантних чињеница, без разматрања формалних обележја и структуре студијског програма и одлучено је да се диплома Међународне високе школе напредних студија, Трст, Република Италија, признаје као диплома докторских академских студија трећег степена високог образовања (180 ЕСПБ), која одговара нивоу 8 НОКС-а.

Са напред наведених разлога директор Агенције је нашао да су у конкретном случају испуњени претходно наведени сви законом прописани услови да се призна диплома Међународне високе школе напредних студија, Трст, Република Италија, као диплома докторских академских студија трећег степена високог образовања (180 ЕСПБ), у оквиру образовно-научног поља: Природно-математичке науке, научна односно стручна област: Математичке науке, која одговара нивоу 8 НОКС-а, ради запошљавања.

Накнада за решење по захтеву се наплаћује на основу члана 2. став 3. Правилника о висини накнаде за трошкове поступка признавања страних школских исправа и признавање страних високошколских исправа у сврху запошљавања и о висини накнада за трошкове поступка давања одобрења другој организацији за стицање статуса јавно признатог организатора активности образовања одраслих ("Службени гласник РС", бр. 1/2020) плаћена је и поништена.

Сходно претходно наведеном, донета је одлука као у диспозитиву решења.

Упутство о правном средству: Ово решење је коначно у управном поступку и против истог може се покренути управни спор. Тужба се подноси Управном суду у року од 30 дана од дана пријема овог решења.

Решење доставити:

- Борис Ступовски, лично преузимање;
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Existence of Riemannian metrics
with positive biorthogonal
curvature on simply connected
5-manifolds

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Abstract

We show that the closed simply connected 5-manifold $S^3 \times S^2$ admits Riemannian metrics with strictly positive averages of sectional curvatures of any 2-planes tangent at a given point and which are separated by the smallest distance in the Grassmannian of 2-planes. These metrics have positive Ricci curvature yet there are 2-planes of negative sectional curvature. We use these metrics to show that every closed connected simply connected 5-manifold with vanishing second Stiefel-Whitney class and torsion-free homology admits a Riemannian metric with strictly positive average of sectional curvatures of any pair of orthogonal 2-planes. We show that the symmetric space metric on the Wu manifold satisfies such lower curvature bound.

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Chapter 1

Introduction

Riemannian manifolds of non-negative and positive sectional curvature have been extensively studied, essentially from the beginning of Riemannian geometry. Non-negatively curved examples are fairly plentiful: they are closed under products and include all biquotients (as a consequence of O’Neill’s formula [24]), and many cohomogeneity one manifolds, see [33] for an extensive survey. Contrasting this, manifolds with positive sectional curvature seem to be quite rare. For example, apart from spheres and projective spaces, there are no known examples above dimension 24. Further, apart from dimensions 7 and 13, in each dimension there are only finitely many known examples, up to diffeomorphism. This suggests that there should be obstructions to equipping a non-negatively curved Riemannian manifold with a new positively curved metric. However, for closed simply connected manifolds, no such obstructions are known. Almost 100 years ago, Hopf conjectured that $S^2 \times S^2$ (whose standard product metric is non-negatively curved) should not admit a metric of positive sectional curvature. While many partial results are known, the full conjecture has not been resolved. As such, in [4], Bettiol introduces a new notion of curvature called distance curvature as well as the special case of biorthogonal curvature. Positive distance curvature is a weaker property than positive sectional curvature, so one hopes that constructions of such metrics will be more abundant. In particular, Bettiol shows $S^2 \times S^2$ admits a metric of positive distance curvature. Later, using a surgery theoretic result due to Hoegel [16], Bettiol [2] classifies closed simply connected 4-manifolds admitting metrics of biorthogonal curvature.

The purpose of this thesis is to study the existence of Riemannian metrics on 5-manifolds that satisfy a lower bound on their distance curvature and biorthogonal curvature. The distance curvature is the minimum of the average between sectional curvatures of two 2-planes that are at least some distance apart in the Grassmannian; see Definition 2.14. The biorthogonal curvature is a particular case of the distance curvature, where we use a distance function on the Grassmannian called symmetric space distance and a maximal distance between the planes, so that we are taking averages of two 2-planes that are orthogonal to each other, see Definition 2.15. Our studies build upon work of Bettiol [4], [3], [2] who constructed a family of metrics of positive distance curvature on the product of two 2-spheres $S^2 \times S^2$ and determined the homeomorphism classes of closed simply connected smooth 4-manifolds that admit such metrics.

The main contribution of the thesis is the following theorem.

Theorem 1.1. *For every $\theta > 0$, there is a Riemannian manifold $(S^3 \times S^2, g^\theta)$ such*

that:

1. $\sec_{g^\theta}^\theta > 0$.
2. There is a metric g^0 such that $g^\theta \rightarrow g^0$ in the C^k -topology as $\theta \rightarrow 0$ for $k \geq 0$. The metric g^0 is Wilking's metric g_W of almost-positive curvature.
3. There is a 2-plane $\sigma \in \text{Gr}_2(T_m(S^3 \times S^2))$ with $\sec_{g^\theta}^\theta(\sigma) < 0$.
4. $\text{Ric}_{g^\theta} > 0$.

In particular, there is a Riemannian metric of positive biorthogonal curvature on $S^3 \times S^2$.

Theorem 1.1 is an extension to $S^3 \times S^2$ of the construction of metrics on $S^2 \times S^2$ due to Bettiol.

By using

- 1) Positivity of biorthogonal curvature is preserved under connected sums, see [3, Proposition 7.11];
- 2) Bettiol's construction, which under certain conditions can be used to deform metrics with almost positive curvature into metrics with positive biorthogonal curvature, see [4, Section 3];
- 3) Wilking's construction of a metric with almost positive curvature on $\mathbb{R}P^3 \times \mathbb{R}P^2$, see [30, Section 5]; Ziller's proof [33, Section 5]; and
- 4) Smale's classification of simply connected 5-manifolds with torsion-free second homology and trivial second Stiefel-Whitney class [27, Main Theorem], we obtained a following result.

Theorem 1.2. *Every closed connected simply connected 5-manifold with zero second Stiefel-Whitney class and torsion-free homology admits a Riemannian metric of positive biorthogonal curvature.*

In Section 3.1, we show that the symmetric space structure on the Wu manifold has positive biorthogonal curvature. In particular, the hypothesis on the second Stiefel-Whitney class and homology of Theorem 1.2 are merely technical in nature. We expect that they can be removed.

A recollection of background notions and results is included in Chapter 2. Chapter 3 starts by showing that the Wu manifold with the symmetric space structure has positive biorthogonal curvature. Next, Bettiol's construction of metrics with positive distance curvature on $S^2 \times S^2$ is recalled. Finally, connected sums and their relation to biorthogonal curvature is considered. In Chapter 4 we first prove Theorem 1.1, and then in the final section we prove Theorem 1.2. Appendix A introduces the Gell-Mann matrices that are used in the calculations on the Wu manifold.

Chapter 2

Background

2.1 Sectional, Ricci, and scalar curvature

We begin by recalling several basic definitions.

Definition 2.1. A **Riemannian manifold** (M, g) is a pair where M is a smooth manifold and g is a symmetric $(0, 2)$ -tensor field on M , i.e., a section of the S_2T^*M -bundle over M such that its restriction $g|_m$ to each point $m \in M$ is a positive definite scalar product on T_mM .

Each Riemannian manifold has associated to it a unique connection on the tangent bundle, called the Levi-Civita connection satisfying what Peterson calls Fundamental Theorem of Riemannian geometry, [25, Chapter 2, Theorem 2.2.2]

Theorem 2.2. For a pair of vector fields (X, Y) on a Riemannian manifold (M, g) , an assignment

$$(2.1) \quad \mathfrak{X}(M) \times \mathfrak{X}(M) \rightarrow \mathfrak{X}(M)$$

$$(2.2) \quad \nabla_X Y := \nabla(X, Y)$$

is uniquely defined by the following properties:

1. $X \mapsto \nabla_X Y$ is a $(1,1)$ -tensor, i.e., it is well defined for all tangent vectors $Y_m \in T_mM$ and linear

$$(2.3) \quad \nabla_{\alpha X_1 + \beta X_2} Y = \alpha \nabla_{X_1} Y + \beta \nabla_{X_2} Y.$$

2. $Y \mapsto \nabla_X Y$ is a derivation:

$$(2.4) \quad \begin{aligned} \nabla_X (Y_1 + Y_2) &= \nabla_X Y_1 + \nabla_X Y_2, \\ \nabla_X (\phi Y) &= X(\phi)Y + \phi \nabla_X Y, \end{aligned}$$

for $\phi \in C^\infty(M)$.

3. Covariant differentiation ∇ is torsion free:

$$(2.5) \quad \nabla_X Y - \nabla_Y X = [X, Y]$$

4. *Covariant differentiation is metric:*

$$(2.6) \quad Z(g(X, Y)) = g(\nabla_Z X, Y) + g(X, \nabla_Z Y).$$

The symbol ∇_X has its usual meaning from Riemannian geometry, i.e. ∇_X is the covariant derivative in the direction of X that corresponds to the Levi-Civita connection. See [25, Chapter 2, Chapter 3] for conventions we are using and more details.

In what follows, we adapt standard definitions of sectional, Ricci, and scalar curvature in Riemannian geometry from [25]. Riemann curvature tensor is in the principal fibre bundle language just a curvature of the Levi-Civita connection, see [13, Chapter 5, Chapter 9] for the details of this approach. For our purposes, Riemann curvature tensor is a $(1,3)$ -tensor defined for all locally defined vector fields X, Y, Z on (M, g) as

Definition 2.3. The **Riemann curvature tensor** is a $(1,3)$ -tensor field given by

$$(2.7) \quad \text{Riem}_g(X, Y)Z := (\nabla_X \nabla_Y - \nabla_Y \nabla_X - \nabla_{[X, Y]})Z,$$

for vector fields X, Y , and Z . Using the metric, we can lower the index, or turn Riem_g from a $(1,3)$ -tensor into a $(0,4)$ -tensor

$$(2.8) \quad \text{Riem}_g(X, Y, Z, W) := g(\text{Riem}_g(X, Y)Z, W).$$

The symbol Riem_g in (2.8) is overloaded, but whether we are working with the $(1,3)$ or $(0,4)$ version will always be clear from the context. The following proposition gives the symmetries of Riem_g

Proposition 2.4. [25, Proposition 3.1.1] *The Riemann curvature tensor Riem_g satisfies:*

1. Riem_g is skew-symmetric in the first two and the last two entries:

$$(2.9) \quad \text{Riem}_g(X, Y, Z, W) = -\text{Riem}_g(Y, X, Z, W) = \text{Riem}_g(Y, X, W, Z)$$

2. Riem_g is symmetric between the first two and last two entries:

$$(2.10) \quad \text{Riem}_g(X, Y, Z, W) = \text{Riem}_g(W, Z, X, Y)$$

3. Riem_g satisfies a cyclic permutation property called Bianchi's first identity:

$$(2.11) \quad \text{Riem}_g(X, Y)Z + \text{Riem}_g(Z, X)Y + \text{Riem}_g(Y, Z)X = 0.$$

In the following definition $\text{Gr}_2(TM)$ is the Grassmanian bundle of 2-planes over M and σ is a 2-plane, i.e. $\sigma \in \text{Gr}_2(T_m M)$

Definition 2.5. The **Sectional curvature** of (M, g) is a map

$$(2.12) \quad \text{sec}_g : \text{Gr}_2(TM) \rightarrow \mathbb{R},$$

defined by

$$(2.13) \quad \text{sec}_g(\sigma) := \frac{\text{Riem}_g(X, Y, Y, X)}{g(X, X)g(Y, Y) - g(X, Y)^2},$$

where $\sigma \in \text{Gr}_2(T_m M)$, and X and Y a basis of σ . We call the real number $\text{sec}_g(\sigma)$ **sectional curvature of the 2-plane σ** .

Lemma 2.6. *Sectional curvature (2.13) doesn't depend on the choice of basis of σ .*

Having in mind Lemma 2.6, we will interchangeably use $X \wedge Y$ and σ to denote the 2-plane $\sigma = \text{span}\{X, Y\}$.

In terms of Riemann curvature tensor, Ricci curvature (0,2)-tensor, is defined as the following trace.

Definition 2.7. The **Ricci curvature tensor** is a (0,2)-tensor defined by

$$(2.14) \quad \text{Ric}_g(X, Y) := \text{Trace}_g(\cdot \mapsto \text{Riem}_g(\cdot, X)Y).$$

One should think of the dot in the previous expression as defining a function.

$$(2.15) \quad Z \mapsto \text{Riem}_g(Z, X)Y$$

In other words, in (2.15) we keep the variables X and Y fixed and vary Z over its allowed set of values. With notation (2.15) in mind $\cdot \mapsto \text{Riem}_g(\cdot, X)Y$ is an \mathbb{R} -linear function from $T_m M$ to itself, i.e, \mathbb{R} -linear operator. Since the metric tensor g induces a scalar product on $T_m M$, we have enough data to define a unique trace operation that features in the equation (2.14).

Lemma 2.8. *Ricci tensor is symmetric, i.e.,*

$$(2.16) \quad \text{Ric}_g(X, Y) = \text{Ric}_g(Y, X).$$

Definition 2.9. The **Ricci curvature** of (M, g) is a map

$$(2.17) \quad \text{Ric}_g : T^1 M \rightarrow \mathbb{R},$$

defined by

$$(2.18) \quad \text{Ric}_g(X) := \text{Ric}_g(X, X),$$

for a unit vector X .

Since Ricci tensor is symmetric, there is one and only one way to take its trace. Scalar curvature is the unique trace of the Ricci curvature tensor.

Definition 2.10. The **scalar curvature** of (M, g) is a map

$$(2.19) \quad \text{scal}_g : M \rightarrow \mathbb{R},$$

defined by

$$(2.20) \quad \text{scal}_g := \text{Trace}_g(\text{Ric}_g).$$

Scalar curvature can be obtained as a sum of sectional curvatures in a following way

Lemma 2.11. *Let $\{e_i\}_{i=1 \dots \dim(M)}$ be an orthonormal basis of $T_m M$. Then the scalar curvature of (M, g) is given by*

$$(2.21) \quad \text{scal}_g = \sum_{i,j=1}^{\dim(M)} \text{sec}_g(e_i \wedge e_j).$$

2.2 Distance curvature

In this section, we introduce a notion of curvature that will be our main interest in this thesis. Distance curvature is an average of sectional curvatures of two 2-planes that are some distance apart on the Grassmanian. We follow Bettiol [3, Chapter 5]. First, we introduce a distance on the Grassmanian of 2-planes of an Euclidean vector space V . Then, considering tangent space at each point of a Riemannian manifold, we define distance curvature. Bettiol discusses different distance functions, but we will only consider symmetric space distance, since this distance function leads to the biorthogonal curvature. Let $P, P' \in \text{Gr}_2(V)$ and let S_σ and $S_{\sigma'}$ be the intersections of the unit sphere in V , $S_V = \{v \in V : \|v\|^2 = 1\}$ with σ and σ' , respectively.

Definition 2.12. Let $(V, \langle \cdot, \cdot \rangle)$ be an Euclidean vector space and let $\sigma, \sigma' \in \text{Gr}_2(V)$ be two 2-planes in V . The **principal angles** $0 \leq \theta_1 \leq \theta_2 \leq \frac{\pi}{2}$ between σ and σ' are, respectively, the smallest and the largest angle that a line in σ makes with a 2-plane σ' , i.e.,

$$(2.22) \quad \theta_1 = \min_{v \in S_\sigma} \arccos \left(\max_{w \in S_{\sigma'}} \langle v, w \rangle \right)$$

$$(2.23) \quad \theta_2 = \max_{v \in S_\sigma} \arccos \left(\max_{w \in S_{\sigma'}} \langle v, w \rangle \right).$$

Definition 2.13. The **Symmetric space distance** between two 2-planes $\sigma, \sigma' \in \text{Gr}_2(V)$ is defined as:

$$(2.24) \quad \text{dist}(\sigma, \sigma') := \sqrt{\theta_1^2 + \theta_2^2},$$

where θ_1 and θ_2 are principal angles between σ and σ' .

For a Riemannian manifold (M, g) , one gets a fiberwise distance function dist on $\text{Gr}_2 TM$, that is, a distance function on each $\text{Gr}_2(T_m M)$ that varies continuously with $m \in M$, by taking the Euclidean space V to be $T_m M$ in the previous definitions.

Definition 2.14. The **distance curvature** of (M, g) for $\theta > 0$ is a map

$$(2.25) \quad \text{sec}_g^\theta : \text{Gr}_2(TM) \rightarrow \mathbb{R}$$

defined by

$$(2.26) \quad \text{sec}_g^\theta(\sigma) := \min_{\substack{\sigma' \in \text{Gr}_2(T_m M) \\ \text{dist}(\sigma, \sigma') \geq \theta}} \frac{1}{2} (\text{sec}_g(\sigma) + \text{sec}_g(\sigma')),$$

where $\sigma \in \text{Gr}_2(T_m M)$. We call the real number $\text{sec}_g^\theta(\sigma)$ **the distance curvature of the 2-plane σ** .

For maximal value of θ , $\theta = \frac{\pi}{\sqrt{2}}$ every vector from σ is orthogonal to every vector from σ' and we say that the 2-planes σ and σ' are orthogonal. We call distance curvature for $\theta = \frac{\pi}{\sqrt{2}}$ biorthogonal curvature.

Definition 2.15. The **biorthogonal curvature** of (M, g) is a map

$$(2.27) \quad \sec_g^\perp : \text{Gr}_2(TM) \rightarrow \mathbb{R},$$

defined by

$$(2.28) \quad \sec_g^\perp(\sigma) := \min_{\substack{\sigma' \in \text{Gr}_2(T_m M) \\ \sigma' \subset \sigma^\perp}} \frac{1}{2} (\sec_g(\sigma) + \sec_g(\sigma')).$$

where $\sigma \in \text{Gr}_2(T_m M)$. We call the real number $\sec_g^\perp(\sigma)$ **the biorthogonal curvature of the 2-plane σ** .

Note that, biorthogonal curvature is defined for manifolds of dimension four or higher. In dimension four, the orthogonal subspace of 2-plane is a unique 2-plane and taking the minimum in (2.28) can be omitted, i.e. in four dimensions

$$(2.29) \quad \sec_g^\perp(\sigma) = \frac{1}{2} (\sec_g(\sigma) + \sec_g(\sigma^\perp)).$$

2.3 Notions of positivity of curvature

In this section we introduce some lower bounds on curvatures defined in the last two sections, i.e. notions of positivity of curvature, and explore relationships between them.

Definition 2.16. A Riemannian manifold (M, g) **has positive sectional curvature** if its sectional curvature is a strictly positive function. We denote this as $\sec_g > 0$. Similarly, (M, g) has **non-negative sectional curvature** if its sectional curvature is a non-negative function. We denote this as $\sec_g \geq 0$.

A weaker notion than positivity of sectional curvature is the following.

Definition 2.17. A Riemannian manifold (M, g) **has almost-positive curvature** if its sectional curvature is strictly positive everywhere except at points in a subset of measure zero $L \subset M$.

By the continuity of sectional curvature, a manifold with almost positive curvature has non-negative sectional curvature.

Positivity of Ricci, Scalar, Distance, and Biorthogonal curvature is defined in a similar fashion to Definition 2.16. We state the definitions for completeness.

Definition 2.18. A Riemannian manifold (M, g) **has positive Ricci curvature** if its Ricci curvature is a strictly positive function. We denote this as $\text{Ric}_g > 0$.

Definition 2.19. A Riemannian manifold (M, g) **has positive scalar curvature** if its scalar curvature is a strictly positive function. We denote this as $\text{scal}_g > 0$.

Lemma 2.20. *If (M, g) has positive Ricci curvature then (M, g) has positive scalar curvature.*

Proof. Let $\{e_i\}_{i=1 \dots \dim(M)}$ be an orthonormal basis of M , then

$$(2.30) \quad \text{scal}_g = \sum_{i=1}^{\dim(M)} \text{Ric}_g(e_i) > 0$$

since every term in the sum is positive by assumption. \square

Note that converse of Lemma 2.20 does not hold, as a following counter-example shows.

Example 2.20.1. The product of a 2-sphere and 2-torus, $(S^2 \times T^2, g_{S^2} + g_{T^2})$ where g_{S^2} is the radius one round metric and g_{T^2} is a flat metric the 2-torus, and plus denotes a product metric., has $\text{scal}_g > 0$, but not $\text{Ric}_g > 0$. To see this, let $\{e_1, e_2, e_3, e_4\}$ denote an orthonormal basis with vectors e_1 and e_2 tangent to S^2 and vectors e_3 and e_4 tangent to T^2 , then

$$(2.31) \quad \text{Ric}_g(e_1) = \text{Ric}_g(e_2) = 1,$$

and

$$(2.32) \quad \text{Ric}_g(e_3) = \text{Ric}_g(e_4) = 0.$$

It follows that scalar curvature is constant and positive

$$(2.33) \quad \text{scal}_g = 2,$$

while Ricci curvature is not positive, because of (2.32). Furthermore, since the fundamental group of $S^2 \times T^2$ is \mathbb{Z}^2 , by Bonnet–Myers Theorem, [25, Theorem 6.3.3], $S^2 \times T^2$ does not admit a metric of positive Ricci curvature.

Definition 2.21. A Riemannian manifold (M, g) **has positive distance curvature** if its distance curvature is a strictly positive function. We denote this as $\text{sec}_g^\theta > 0$.

Definition 2.22. A Riemannian manifold (M, g) **has positive biorthogonal curvature** if its biorthogonal curvature is a strictly positive function. We denote this as $\text{sec}_g^\perp > 0$.

By definition, $\text{sec}_g^{\theta_1} > 0$ implies $\text{sec}_g^{\theta_2} > 0$, for $\theta_2 > \theta_1$. In particular, since biorthogonal curvature is the distance curvature for maximal value of θ , positive distance curvature implies positive biorthogonal curvature. In what follows we will show that, similarly to Ricci curvature, positive biorthogonal curvature implies positive scalar curvature. To this end we cite the following Lemma

Lemma 2.23. [11, Lemma 3.100] *For any symmetric bilinear ϕ form on \mathbb{R}^n*

$$(2.34) \quad \int_{S^{n-1}} \phi(V, V) dS_V^{n-1} = \frac{1}{n} \text{vol}_g(S^{n-1}) \text{Trace}_g(\phi).$$

Using 2.23 one can prove the following.

Lemma 2.24. *If the biorthogonal curvature of (M, g) is positive at a point $m \in M$, then the scalar curvature is positive at that point.*

Proof. Fix a point $m \in M$. Scalar curvature at that point can be written as a following average

$$(2.35) \quad \text{scal}_g(m) = \frac{n(n-1)}{\text{vol}_g(S^{n-1})\text{vol}_g(S^{n-2})} \int_{S^{n-2}} \int_{S^{n-1}} \text{sec}_g(U \wedge V) dS_U^{n-1} dS_V^{n-2}$$

by applying Lemma 2.23 two times. First on the trace that defines Ricci curvature, (2.14) and then on the trace that defines scalar curvature (2.20). More geometrically

$$(2.36) \quad \text{scal}_g(m) = \frac{\int_{\text{Gr}_2(T_m M)} \star \text{sec}_g(\sigma)}{\int_{\text{Gr}_2(T_m M)} \star 1},$$

where, \star denotes the Hodge star operator, see [13, Chapter 3], possibly up to a positive constant factor that is irrelevant for the proof. We proceed by contradiction and assume that $\text{scal}_g(m) \leq 0$ and $\text{sec}_g^\perp(\sigma) > 0$ for all 2-planes $\sigma \in \text{Gr}_2(T_m M)$. Then we have the following chain of inequalities

$$(2.37) \quad \begin{aligned} 0 \geq \text{scal}_g(m) &= \lambda \int_{\sigma \in \text{Gr}_2(T_m M)} \star \text{sec}_g(\sigma) \\ &= \lambda \int_{\sigma \in \text{Gr}_2(T_m M)} \star \frac{1}{2} (\text{sec}_g(\sigma) + \text{sec}_g(\sigma)) \\ &\geq \lambda \int_{\sigma \in \text{Gr}_2(T_m M)} \star \frac{1}{2} \left(\text{sec}_g(\sigma) + \min_{\sigma' \subset \sigma^\perp} \text{sec}_g(\sigma') \right) \\ &= \lambda \int_{\sigma \in \text{Gr}_2(T_m M)} \star \text{sec}_g^\perp(\sigma). \end{aligned}$$

In conclusion, $\int_{\sigma \in \text{Gr}_2(T_m M)} \star \text{sec}_g^\perp(\sigma) \leq 0$, but this means that there exists a 2-plane σ'' at point $m \in M$ with $\text{sec}_g^\perp(\sigma'') \leq 0$, contradicting the initial assumption. \square

Applying Lemma 2.24 to all points of M gives the following Corollary.

Corollary 2.24.1. *If (M, g) has positive biorthogonal curvature, then (M, g) has positive scalar curvature.*

Despite both positive Ricci curvature and positive biorthogonal curvature implying positive scalar curvature, positive Ricci curvature does not imply positive biorthogonal curvature, nor does positive biorthogonal curvature imply positive Ricci curvature.

Example 2.24.1. The Riemannian manifold $(S^2 \times S^2, g)$, with g a product of round metrics on a 2-sphere of radius one S^2 has positive Ricci curvature, but doesn't have positive biorthogonal curvature. The manifold $(S^2 \times S^2, g)$ is an Einstein manifold with

$$(2.38) \quad \text{Ric}_g = g,$$

and thus has positive Ricci curvature. However, sectional curvature of any mixed 2-plane is zero and an orthogonal 2-plane to a mixed 2-plane is again a mixed 2-plane, and so biorthogonal curvature of any mixed 2-plane on $(S^2 \times S^2, g)$ is zero. By mixed 2-plane we mean a 2-plane a 2-plane is mixed if and only if its projection to each factor has 1-dimensional image. Note that in [4], Bettiol deforms the metric g to a metric of positive distance curvature that is arbitrarily close to g in the C^k -topology. In this thesis, we apply a similar deformation to obtain a metric of positive distance curvature on $S^3 \times S^2$, see Section 4.

The following is an example of a manifold that has $\sec^\perp > 0$, but doesn't have $\text{Ric}_g > 0$.

Example 2.24.2. The product Riemannian manifold $(M^3 \times S^1, g_M + d\theta^2)$, where g_M is a metric with positive sectional curvature, has positive biorthogonal curvature, but does not have positive Ricci curvature. A 2-plane is flat if and only if it is mixed, while 2-planes that are not mixed have positive sectional curvature and in particular positive biorthogonal curvature. Since S^1 is 1-dimensional, a plane orthogonal to a mixed plane cannot be mixed. Thus the biorthogonal curvature of a mixed 2-plane is positive. On the other hand, the fundamental group of $M^3 \times S^1$ is $\pi_1(M) \times \mathbb{Z}$, and so by Bonnet-Myers Theorem, $M^3 \times S^1$ does not admit a metric of positive Ricci curvature.

2.4 Riemannian submersions

In this section we define Riemannian submersions and homogeneous spaces. Finally we recall result by O'Neill [24, Corollary 1.3] and a Theorem by Tapp [29, Theorem 1.1] that we will need in later Chapters. See [25, Chapter 1] and [34, Section 1] for more details.

Definition 2.25. Let (M, g_M) and (N, g_N) be Riemannian manifolds and let ϕ be a smooth submersion from M to N , i.e.,

$$(2.39) \quad \pi \in \{\phi \in C^\infty(M, N) : (\forall m \in M)(\phi_*|_m \text{ is surjective})\}.$$

If in addition π is such that at every point $m \in M$ the following holds

$$(2.40) \quad g_M(X, Y)(m) = g_N(\pi_*X, \pi_*Y)(\pi(m)),$$

for all $X, Y \in T_mM$, we call ϕ a **Riemannian submersion**.

Remark 2.25.1. Let π be a Riemannian submersion from (M, g_M) to (N, g_N) . Then at every point $m \in M$, we call null-space of $\pi_*|_m$ the **vertical space** of submersion π .

Remark 2.25.2. The **horizontal space** is the orthogonal complement of the vertical space in T_mM .

While vertical space can be defined in the same manner for any submersion, notion of the horizontal space requires M and N to be equipped with Riemannian metrics and condition (2.40).

Definition 2.26. For a Riemannian submersion

$$(2.41) \quad \pi : (M, g_M) \rightarrow (N, g_N)$$

to each locally defined vector field on N , X we can associate a unique locally defined horizontal vector field \bar{X} , i.e. $\bar{X}(m) \in \text{Hor}_mM$, such that

$$(2.42) \quad \pi_*\bar{X} = X.$$

The vector field \bar{X} is called the **horizontal lift** of X .

Remark 2.26.1. By (2.40) and (2.42), the vector $X(\pi(m))$ and its horizontal lift $\bar{X}(m)$ have the same length.

Example 2.26.2. Homogeneous spaces, for details see [26, Appendix 2], and section 2.5. Suppose that G is a compact Lie group that acts from the left, transitively, and isometrically on a compact Riemannian manifold (M, g) and call $H < G$ a Lie subgroup of G that is smoothly isomorphic to isotropy groups of every point in M . Under these assumptions, the canonical projection:

$$(2.43) \quad \pi : G \rightarrow G/H$$

is a submersion, and there is a diffeomorphism:

$$(2.44) \quad \phi : G/H \xrightarrow{\sim} M.$$

Diffeomorphism ϕ , precomposed with the canonical projection π , induces an anti-homomorphism from the Lie algebra of right invariant vector fields on G to the Lie algebra of Killing vector fields on (M, g) , i.e.,

$$(2.45) \quad (\phi \circ \pi)_* : [X, Y]_{\mathfrak{g}} \mapsto (\phi \circ \pi)_*([X, Y]_{\mathfrak{g}}) = -[(\phi \circ \pi)_*X, (\phi \circ \pi)_*Y]_M.$$

Kernel of this anti-homomorphism is precisely the Lie algebra of H and there is a direct sum decomposition

$$(2.46) \quad \mathfrak{g} = \mathfrak{h} \oplus \mathfrak{h}^\perp$$

that is orthogonal with respect to some fixed left- G and $\text{Ad}(H)$ invariant metric Q on G . Suppose that $(\phi \circ \pi)(e) = m_e \in M$, then

$$(2.47) \quad (\phi \circ \pi)_*(e) : \mathfrak{h}^\perp \xrightarrow{\sim} T_{m_e}M$$

is an isomorphism of vector spaces, and one can choose the metric Q in such a way to promote the isomorphism $(\phi \circ \pi)_*(e)$ into an isometry. Thus, making submersion $\phi \circ \pi$ into a Riemannian submersion

$$(2.48) \quad (\phi \circ \pi) : (G, Q) \rightarrow (M, g).$$

Vertical space and horizontal space of Riemannian submersion 2.48 at the identity element e are \mathfrak{h} and \mathfrak{h}^\perp , while the orthogonal decomposition

$$(2.49) \quad T_eG = \text{Ver}_eG \oplus \text{Hor}_eG$$

is precisely the decomposition (2.46). Differential of left translation on G , L_{g*} , preserves the splitting (2.49) because Q is left invariant, and we get vertical and horizontal sub-spaces at points other than the identity by left translating tangent space at the identity T_eG .

The following result of O'Neill describes how sectional curvature behaves under Riemannian submersions.

Theorem 2.27. [24, Corollary 1.3] For a Riemannian submersion

$$(2.50) \quad \pi : (M, \mathfrak{g}_M) \rightarrow (N, \mathfrak{g}_N),$$

2-plane $X \wedge Y$ and its horizontal lift $\bar{X} \wedge \bar{Y}$ the following holds

$$(2.51) \quad \sec_{\mathfrak{g}_N}(X \wedge Y) = \sec_{\mathfrak{g}_M}(\bar{X} \wedge \bar{Y}) + \frac{3}{4} \|[\bar{X}, \bar{Y}]^{\text{Ver}}\|^2,$$

where superscript Ver denotes projection to the vertical subspace.

A consequence of (2.51) is

$$(2.52) \quad \sec_{\mathfrak{g}_N}(X \wedge Y) \geq \sec_{\mathfrak{g}_M}(\bar{X} \wedge \bar{Y}).$$

In particular, if (M, \mathfrak{g}_M) has non-negative sectional curvature so does (N, \mathfrak{g}_N) . For Riemannian submersions from Lie groups with bi-invariant metric the second term on the right hand side of (2.51) is zero on flat horizontal planes by a result of Tapp [29, Theorem 1.1]

Theorem 2.28. [29, Theorem 1.1] If

$$(2.53) \quad \pi : (G, \mathfrak{g}) \rightarrow (B, \mathfrak{g}),$$

is a Riemannian submersion from a Lie group with a bi-invariant metric, then

1. Every horizontal flat 2-plane in G projects to a flat 2-plane in B .
2. Every flat 2-plane in B exponentiates to a totally geodesic immersion of \mathbb{R}^2 with a flat metric.

Inequality (2.52) and Theorem 2.28 imply that in the case of a Riemannian submersion (2.53) flat 2-planes in B are in one to one correspondence with horizontal flat 2-planes in G . We will find this result useful in later chapters. Another useful Corollary of Theorem 2.28 is the following.

Corollary 2.28.1. Let (G, \mathfrak{g}) be Lie group equipped with a bi-invariant metric. If

$$(2.54) \quad \pi : (G, \mathfrak{g}) \rightarrow (M, \mathfrak{g}_M)$$

and

$$(2.55) \quad \rho : (M, \mathfrak{g}_M) \rightarrow (B, \mathfrak{g}_B)$$

are Riemannian submersions, then any horizontal flat 2-plane in M projects to a flat 2-plane in B .

2.5 Lie groups, symmetric spaces, and Cartan decomposition

In this section we review classical results about compact Lie groups and symmetric spaces. We follow [1], [26], [23], and Eschenburg's notes on symmetric spaces [9]. First, we adapt a part of [1, Chapter 2, Proposition 2.26] to our conventions and notation.

Proposition 2.29. *Let G be a Lie group equipped with a bi-invariant metric Q , and $X, Y \in \mathfrak{g} = T_e G$. Then*

$$(2.56) \quad \text{Riem}_Q(X, Y, Y, X) = \frac{1}{4} \|[X, Y]\|^2.$$

It follows that if X and Y are an orthonormal basis of a 2-plane $\sigma \in \text{Gr}_2(T_e G)$, then

$$(2.57) \quad \text{sec}_Q(\sigma) = \frac{1}{4} \|[X, Y]\|^2.$$

Definition 2.30. A **Geodesic symmetry** at a point m of a connected Riemannian manifold (M, g) is an isometry

$$(2.58) \quad s_m : M \rightarrow M,$$

such that

$$(2.59) \quad s_m(m) = m,$$

and

$$(2.60) \quad (s_m)_*|_m = -\text{Id}_{T_m M}.$$

It can be shown that $s_m^2 = \text{id}_M$.

Definition 2.31. A Riemannian manifold (M, g) is called a **symmetric space** if for every $m \in M$ there exists a geodesic symmetry at m .

A symmetric space is geodesically complete because any geodesic can be extended indefinitely via symmetries about its endpoints. Furthermore, every symmetric space is a homogeneous space. To see this, take two points of a symmetric space (M, g) , $m_1, m_2 \in M$ and connect them by a unique length minimizing geodesic γ . The geodesic symmetry about the midpoint of γ is an isometry that sends m_1 to m_2 . Since m_1 and m_2 are arbitrary (M, g) is a homogeneous space. This means that, as with any other homogeneous space, we can pick an arbitrary point $m_e \in M$ and realize M as a coset space of G/H , see example 2.48. Here, G is a Lie group of midpoint geodesic symmetries and H is the isotropy group of m_e . We can also pull back the metric g from M to G/H by the identifying diffeomorphism, and extend it to a left invariant, $\text{Ad}_g(H)$ invariant metric on G , which we will denote by Q .

Next, we define an involutive automorphism of G by conjugating by the geodesic symmetry $s_{m_e} \in G$

$$(2.61) \quad \Theta : g \mapsto s_{m_e} g s_{m_e}^{-1}.$$

If we denote the fixed point set of Θ by F , i.e., $F := \{k \in G; \Theta(k) = k\}$ and by F_e the identity component of F , then

$$(2.62) \quad F_e \subset H \subset F.$$

Let θ be differential of Θ at the identity of G , i.e.,

$$(2.63) \quad \theta := \Theta_*|_e : \mathfrak{g} \rightarrow \mathfrak{g}.$$

Differential θ is an involutive automorphism of \mathfrak{g} with eigenvalues ± 1 . Corresponding eigenspace decomposition of \mathfrak{g} is

$$(2.64) \quad \mathfrak{g} = \mathfrak{h} \oplus \mathfrak{m},$$

where

$$(2.65) \quad \mathfrak{m} := \{X \in \mathfrak{g}; \theta(X) = -X\},$$

$$(2.66) \quad \mathfrak{h} := \{X \in \mathfrak{g}; \theta(X) = X\}.$$

Because of (2.62), \mathfrak{h} is the Lie algebra of H . Furthermore, because of the way \mathfrak{Q} is constructed, decomposition (2.64) is \mathfrak{Q} -orthogonal. Since θ is an automorphism of \mathfrak{g} we have

$$(2.67) \quad [\theta(X), \theta(Y)] = \theta([X, Y]).$$

From (2.67) and definitions (2.65), (2.66) it follows that

$$(2.68) \quad [\mathfrak{h}, \mathfrak{h}] \subset \mathfrak{h}, \quad [\mathfrak{h}, \mathfrak{m}] \subset \mathfrak{m}, \quad [\mathfrak{m}, \mathfrak{m}] \subset \mathfrak{h}.$$

Definition 2.32. An involutive automorphism

$$(2.69) \quad \theta : \mathfrak{g} \rightarrow \mathfrak{g}$$

of a Lie algebra \mathfrak{g} is called a **Cartan involution** if $\text{ad}(\mathfrak{h})|_{\mathfrak{m}}$ is a Lie algebra of a compact subgroup of $\text{GL}(\mathfrak{m})$, where \mathfrak{h} is +1 eigenspace of θ , and \mathfrak{m} is the -1 eigenspace of θ .

Definition 2.33. Direct sum decomposition

$$(2.70) \quad \mathfrak{g} = \mathfrak{h} \oplus \mathfrak{m}$$

of a Lie algebra \mathfrak{g} is called **Cartan decomposition** if $\text{ad}(\mathfrak{h})|_{\mathfrak{m}}$ is a Lie algebra of a compact subgroup of $\text{GL}(\mathfrak{m})$ and

$$(2.71) \quad [\mathfrak{h}, \mathfrak{h}] \subset \mathfrak{h}, \quad [\mathfrak{h}, \mathfrak{m}] \subset \mathfrak{m}, \quad [\mathfrak{m}, \mathfrak{m}] \subset \mathfrak{h}.$$

It is easy to see that each Cartan involution corresponds to a unique Cartan decomposition and vice versa. Equation (2.64) is a Cartan decomposition of the Lie algebra of the midpoint geodesic symmetries of a symmetric space (M, \mathfrak{g}) , thus we can associate a Cartan decomposition to a symmetric space. The compactness assumption is satisfied for compact M . Converse is also true. Given a Cartan decomposition of a Lie algebra \mathfrak{g} we can associate to it a unique simply connected symmetric space.

Example 2.33.1. Every Cartan decomposition of $\mathfrak{su}(n)$ is conjugate to one of the types **AI**, **AII**, and **AIII** [6, Chapter 2]:

1. Type **AI** corresponds to a decomposition into purely real and purely imaginary subspaces

$$(2.72) \quad \mathfrak{su}(n) = \mathfrak{so}(n) \oplus \mathfrak{so}(n)^\perp.$$

Associated Cartan involution is

$$(2.73) \quad \theta : X \mapsto -X^T.$$

2. Type **AII** decomposition is defined for even n . It is given by

$$(2.74) \quad \mathfrak{su}(n) = \mathfrak{sp}\left(\frac{n}{2}\right) \oplus \mathfrak{sp}\left(\frac{n}{2}\right)^\perp.$$

Associated Cartan involution is

$$(2.75) \quad \theta : X \mapsto -JX^T J,$$

where

$$(2.76) \quad J = \begin{bmatrix} 0 & I_{\frac{n}{2}} \\ -I_{\frac{n}{2}} & 0 \end{bmatrix}.$$

3. Type **AIII** is given in term of two positive integers such that $p + q = n$. It is of the form

$$(2.77) \quad \mathfrak{su}(n) = \mathfrak{h} \oplus \mathfrak{m},$$

where

$$(2.78) \quad \mathfrak{h} := \text{span} \left\{ \begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}; A \in \mathfrak{u}(p), B \in \mathfrak{u}(q), \text{Tr}(A) + \text{Tr}(B) = 0 \right\},$$

$$(2.79) \quad \mathfrak{m} := \text{span} \left\{ \begin{bmatrix} 0 & C \\ -C^* & 0 \end{bmatrix}; C \in \text{Mat}_{p \times q}(\mathbb{C}) \right\}.$$

Corresponding Cartan involution is

$$(2.80) \quad \theta : X \mapsto I_{p,q} X I_{p,q},$$

where

$$(2.81) \quad I_{p,q} = \begin{bmatrix} I_p & 0 \\ 0 & -I_q \end{bmatrix}.$$

Simply connected symmetric space corresponding to a type AI decomposition of $\mathfrak{su}(3)$ is called the Wu manifold and in section 3.1 we will show that this manifold has positive biorthogonal curvature. To do this, we will need the following result.

Proposition 2.34. *[23, Proposition 7.29] Let G be a compact Lie group. If \mathfrak{a} and \mathfrak{a}' are two maximal abelian subalgebras of \mathfrak{m} then there is a member $h \in H$ with $\text{Ad}(h)\mathfrak{a}' = \mathfrak{a}$.*

2.6 Cheeger deformations on Lie groups

In this section, we introduce Cheeger deformations in the special case of bi-invariant metrics on Lie groups, see [5], [10].

Let G be a Lie group, and let $K \subset G$ be closed subgroup. Equip G with a bi-invariant metric (G, \mathfrak{g}_0) . Consider the right diagonal action of K on $G \times K$,

$$(2.82) \quad (g, k)k' = (gk', kk'),$$

for $g \in G$ and $k, k' \in K$. Orbit space of (2.82) is

$$(2.83) \quad G \cong (G \times K)/\Delta K,$$

with the quotient map given by

$$(2.84) \quad \begin{aligned} \rho : G \times K &\rightarrow G \\ \rho(g, k) &= gk^{-1}. \end{aligned}$$

Equip the $G \times K$ with a product of bi-invariant metrics $(G \times K, \mathfrak{g}_0 + t\mathfrak{g}_0|_K)$, where $t > 0$. Because action (2.82) is by isometries, there is an induced metric \mathfrak{g}_1 making the quotient map ρ into a Riemannian submersion

$$(2.85) \quad \rho : (G \times K, \mathfrak{g}_0 \oplus t\mathfrak{g}_0|_K) \rightarrow (G, \mathfrak{g}_1).$$

Induced metric \mathfrak{g}_1 is the Cheeger deformation of \mathfrak{g}_0 . Since $(G \times K, \mathfrak{g}_0 + t\mathfrak{g}_0|_K)$ has $\text{sec} \geq 0$ and Riemannian submersions don't decrease the curvature it follows that $\text{sec}_{\mathfrak{g}_1} \geq 0$. Next, consider two actions on $(G \times K, \mathfrak{g}_0 + t\mathfrak{g}_0|_K)$,

$$(2.86) \quad g' \star (g, k) = (g'g, k),$$

$$(2.87) \quad k' * (g, k) = (g, k'k),$$

for $g' \in G$, $k' \in K$, $(g, k) \in G \times K$. Action (2.86) and (2.87) are by isometries and commute with the action (2.82), so they descend to actions by isometries on (G, \mathfrak{g}_1) . One has

$$(2.88) \quad \rho(g' \star (g, k)) = \rho(g'g, k) = g'gk^{-1} = g'\rho(g, k),$$

$$(2.89) \quad \rho(k' * (g, k)) = \rho((g, k'k)) = g(k'k)^{-1} = \rho(g, k)k'^{-1},$$

so (2.86) descends to left multiplication by elements of G , and (2.87) descends to the right multiplication by elements of K . It follows that the metric \mathfrak{g}_1 is G -left invariant and K -right invariant. However, right multiplication by an arbitrary element of G is not an isometry of (G, \mathfrak{g}_1) .

Let \mathfrak{k} denote the Lie algebra of subgroup K . Lie algebra of G , \mathfrak{g} splits into an orthogonal sum

$$(2.90) \quad \mathfrak{g} = \mathfrak{p} \oplus \mathfrak{k},$$

Differential of the submersion ρ is

$$(2.91) \quad \begin{aligned} \rho_*|_{(e,e)}(X, Y) &= \frac{d}{dt}\Big|_{t=0} \rho(\exp(tX), \exp(tY)) = \\ &= \frac{d}{dt}\Big|_{t=0} (\exp(tX)\exp(-tY)) = X - Y. \end{aligned}$$

It follows that the vertical subspace of $T_{(e,e)}(G \times K)$ is

$$(2.92) \quad \text{Ver}_{(e,e)} = \{(X, X) : X \in \mathfrak{k}\}$$

Given a vector $X \in \mathfrak{g}$, one can see that its horizontal lift is

$$(2.93) \quad \bar{X} = (X_{\mathfrak{p}} + \frac{t}{1+t}X_{\mathfrak{k}}, -\frac{1}{1+t}X_{\mathfrak{k}}).$$

Let Φ be a symmetric, positive linear map of \mathfrak{g} , defined as

$$(2.94) \quad \mathfrak{g}_1(X, Y) = \mathfrak{g}_0(\Phi X, Y).$$

Then one has

$$(2.95) \quad \Phi X = X_{\mathfrak{p}} + \frac{t}{1+t}X_{\mathfrak{k}},$$

$$(2.96) \quad \Phi^{-1}X = X_{\mathfrak{p}} + \frac{1+t}{t}X_{\mathfrak{k}},$$

and one can write the horizontal lift (2.93) as

$$(2.97) \quad \bar{X} = (\Phi X, -t^{-1}(\Phi X)_{\mathfrak{k}}).$$

By iterating the preceding construction one arrives at the following Lemma. See [8] for a similar construction.

Lemma 2.35. *Let*

$$(2.98) \quad K_n \subset K_{n-1} \subset \dots \subset K_1 \subset G = K_0$$

be a chain of closed subgroups of a compact Lie group (G, \mathfrak{g}_0) . Denote the Lie algebra of K_i by \mathfrak{k}_i and by \mathfrak{p}_i the $\mathfrak{g}_0|_{K_{i-1}}$ -orthogonal complement of \mathfrak{k}_i in \mathfrak{k}_{i-1} , i.e.,

$$(2.99) \quad \mathfrak{k}_{i-1} = \mathfrak{p}_i \oplus \mathfrak{k}_i,$$

for $i = 1, 2, \dots, n$. Then, the metric \mathfrak{g}_n on G defined by

$$(2.100) \quad \mathfrak{g}_n(X, Y) = \mathfrak{g}_0(\Phi X, Y), \quad X, Y \in \mathfrak{g},$$

where Φ is \mathfrak{g}_0 -symmetric, positive linear map given by

$$(2.101) \quad \begin{aligned} \Phi X &= X_{\mathfrak{p}_1} + \frac{1}{1+t_1^{-1}}X_{\mathfrak{p}_2} + \frac{1}{1+t_1^{-1}+t_2^{-1}}X_{\mathfrak{p}_3} + \dots + \\ &+ \frac{1}{1+\sum_{i=1}^{n-1}t_i^{-1}}X_{\mathfrak{p}_n} + \frac{1}{1+\sum_{i=1}^n t_i^{-1}}X_{\mathfrak{k}_n}, \end{aligned}$$

for positive real numbers t_1, t_2, \dots, t_n , has the following properties:

1. \mathfrak{g}_n is G -left invariant.
2. \mathfrak{g}_n is K_n -right invariant.
3. (G, \mathfrak{g}_n) has $\text{sec}_{\mathfrak{g}_n} \geq 0$.

Proof. Proof consists of successively applying Cheeger deformation, starting from

$$(2.102) \quad (G \times K_1 \times K_2 \times \dots \times K_n, \mathfrak{g}_0 + t_1 \mathfrak{g}_0|_{K_1} + t_2 \mathfrak{g}_0|_{K_2} + \dots + t_n \mathfrak{g}_0|_{K_n}).$$

Consider the following submersions

$$(2.103) \quad \begin{aligned} \pi_1 &: G \times K_1 \times K_2 \times \dots \times K_n \rightarrow G \times K_2 \times \dots \times K_n \\ &\quad \pi_1(g, k_1, k_2, \dots, k_n) = (gk_1^{-1}, k_2, \dots, k_n), \\ \pi_2 &: G \times K_2 \times K_3 \times \dots \times K_n \rightarrow G \times K_3 \times \dots \times K_n \\ &\quad \pi_2(g, k_2, k_3, \dots, k_n) = (gk_2^{-1}, k_3, \dots, k_n), \\ &\quad \vdots \\ \pi_i &: G \times K_i \times K_{i+1} \times \dots \times K_n \rightarrow G \times K_{i+1} \times \dots \times K_n \\ &\quad \pi_i(g, k_i, k_{i+1}, \dots, k_n) = (gk_i^{-1}, k_{i+1}, \dots, k_n), \\ &\quad \vdots \\ \pi_n &: G \times K_n \rightarrow G \\ &\quad \pi_n(g, k_n) = gk_n^{-1}, \end{aligned}$$

and let $\rho = \pi_n \circ \pi_{n-1} \circ \dots \circ \pi_2 \circ \pi_1$, metric \mathfrak{g}_n is the metric that makes ρ into a Riemannian submersion. Routine calculations show that horizontal lift all the way up of $X \in \mathfrak{g}$ is

$$(2.104) \quad \bar{X} = (\Phi X, -t_1^{-1}(\Phi X)_{\mathfrak{k}_1}, -t_2^{-1}(\Phi X)_{\mathfrak{k}_2}, \dots, -t_n^{-1}(\Phi X)_{\mathfrak{k}_n}),$$

where Φ is given by the expression (2.101). Using (2.104) and the fact that ρ is a Riemannian submersion to (G, \mathfrak{g}_n) , one finds that (2.100) holds. Group G acts by isometries on (2.102) by left multiplication on the first factor. This action descends to an action by isometries on (G, \mathfrak{g}_n) given by left multiplication. The group K_n acts by isometries on (2.102) by left multiplication of the last factor and this action descends to an action by isometries on (G, \mathfrak{g}_n) that is the right multiplication by the inverse. The product (2.102) has non-negative sectional curvature, and since Riemannian submersions don't decrease curvature, it follows that (G, \mathfrak{g}_n) has $\text{sec}_{\mathfrak{g}_n} \geq 0$. \square

Necessary and sufficient conditions for a 2-plane on (G, \mathfrak{g}_n) to be flat are given by the following Lemma.

Lemma 2.36. *Let (G, \mathfrak{g}_n) be a compact Lie group with a metric obtained by iterated Cheeger deformations as in Lemma 2.35. Then a 2-plane $X \wedge Y \in \text{Gr}_2(\mathfrak{g})$ is flat if and only if*

$$(2.105) \quad [(\Phi X)_{\mathfrak{k}_i}, (\Phi Y)_{\mathfrak{k}_i}] = 0,$$

for all $i = 0, 1, \dots, n$, where \mathfrak{k}_i is the Lie algebra of K_i and Φ is the isomorphism (2.101).

Proof. Denote the product metric (2.102) by

$$(2.106) \quad g_K = g_0 + t_1 g_0|_{K_1} + t_2 g_0|_{K_2} + \dots + t_n g_0|_{K_n}.$$

By Theorem 2.28, $\sec_{g_n}(X \wedge Y) = 0$ if and only if $\sec_{g_K}(\bar{X} \wedge \bar{Y}) = 0$. Sectional curvature is zero, $\sec_{g_K}(\bar{X} \wedge \bar{Y}) = 0$, if and only if unnormalized sectional curvature is zero, $\text{Riem}_g(\bar{X}, \bar{Y}, \bar{Y}, \bar{X}) = 0$. Using (2.104) and (2.106) we have

$$(2.107) \quad \begin{aligned} \text{Riem}_g(\bar{X}, \bar{Y}, \bar{Y}, \bar{X}) &= \text{Riem}_{g_0}(\Phi X, \Phi Y, \Phi Y, \Phi X) + \\ &\quad + t_1^{-3} \text{Riem}_{g_0}((\Phi X)_{\mathfrak{k}_1}, (\Phi Y)_{\mathfrak{k}_1}, (\Phi Y)_{\mathfrak{k}_1}, (\Phi X)_{\mathfrak{k}_1}) + \\ &\quad + t_2^{-3} \text{Riem}_{g_0}((\Phi X)_{\mathfrak{k}_2}, (\Phi Y)_{\mathfrak{k}_2}, (\Phi Y)_{\mathfrak{k}_2}, (\Phi X)_{\mathfrak{k}_2}) + \\ &\quad \vdots \\ &\quad + t_n^{-3} \text{Riem}_{g_0}((\Phi X)_{\mathfrak{k}_n}, (\Phi Y)_{\mathfrak{k}_n}, (\Phi Y)_{\mathfrak{k}_n}, (\Phi X)_{\mathfrak{k}_n}). \end{aligned}$$

Using expression (2.56) we have

$$(2.108) \quad \begin{aligned} \text{Riem}_g(\bar{X}, \bar{Y}, \bar{Y}, \bar{X}) &= \frac{1}{4} \|\Phi X, \Phi Y\|_{g_0}^2 + \frac{t_1^{-3}}{4} \|[(\Phi X)_{\mathfrak{k}_1}, (\Phi Y)_{\mathfrak{k}_1}]\|_{g_0}^2 + \\ &\quad + \frac{t_2^{-3}}{4} \|[(\Phi X)_{\mathfrak{k}_2}, (\Phi Y)_{\mathfrak{k}_2}]\|_{g_0}^2 + \dots + \frac{t_n^{-3}}{4} \|[(\Phi X)_{\mathfrak{k}_n}, (\Phi Y)_{\mathfrak{k}_n}]\|_{g_0}^2. \end{aligned}$$

Since (2.108) is a sum of non-negative terms, it is zero if and only if all of the terms on the right-hand side are zero. This is condition (2.105), completing the proof. \square

2.7 Biquotients and Wilking's doubling trick

In this section, we discuss biquotients following [10], [21]. We proceed to describe Wilking's doubling trick as in [31]. Finally, we characterise flat 2-planes on biquotients equipped with metrics obtained by Wilking's doubling trick following [7].

We start with a following definition.

Definition 2.37. Let G be a compact Lie group and let $H \subset G \times G$ be a closed subgroup such that the action of H on G given by

$$(2.109) \quad (h_1, h_2) \star g = h_1 g h_2^{-1},$$

for $(h_1, h_2) \in H$ and $g \in G$, is effectively free, i.e., an element $h \in H$ has a fixed point if and only if h is in the kernel of action (2.109). In this case we call the orbit space of the action (2.109) a **biquotient** and denote it $G//H$.

If $H = \{e\} \times H'$, where $H' \subset G$ is a closed subgroup, biquotient $G//H$ is the homogeneous space G/H' .

A metric g on G that is invariant under the action (2.109) induces a metric \tilde{g} on $G//H$ making the projection

$$(2.110) \quad \pi : (G, g) \rightarrow (G//H, \tilde{g})$$

into a Riemannian submersion. In what follows we will mostly be concerned with biquotients equipped with metrics induced in such a way. There are two natural families of metrics on $G//H$; the family of metrics induced by left invariant metrics on G , and the family induced by the right invariant metrics on G . Wilking's doubling trick is a construction that gives an even larger family of natural metrics on $G//H$.

Lemma 2.38. *Let $H \subset G \times G$ as in definition 2.37, and let $\Delta G \subset G \times G$ denote the diagonal subgroup. Then the action of $\Delta G \times H$ on $G \times G$ given by*

$$(2.111) \quad (a, h) \star (c, d) = a \cdot (c, d) \cdot h^{-1},$$

for $a \in \Delta G$, and $h \in H$, is effectively free, the biquotient $\Delta G \backslash G \times G / H$ is canonically diffeomorphic to $G // H$, and the class of left invariant Ad_H -invariant on $G \times G$ induces a cone of metrics on the quotient containing the two original families.

Proof. Straightforward calculation shows that action (2.111) is effectively free if and only if action (2.109) is effectively free. The canonical diffeomorphism is induced by the map

$$(2.112) \quad G \times G \rightarrow G, (a, b) \mapsto a^{-1}b.$$

Finally, consider all left invariant Ad_H -invariant product metrics $g_1 + g_2$ on $G \times G$. Subfamily of metrics for which g_1 is a bi-invariant metric on G corresponds to the family of metrics on $G // H$ induced from left invariant metrics on G , while subfamily of metrics for which g_2 is a bi-invariant metric corresponds to the family of metrics on $G // H$ induced by the right invariant metrics. \square

Next, we describe vertical and horizontal distributions of the Riemannian submersion

$$(2.113) \quad \pi : (G \times G, g_1 \oplus g_2) \rightarrow (\Delta G \backslash G \times G / U, \tilde{g}),$$

where g_1 and g_2 are left invariant metrics on G , and $g_1 \oplus g_2$ is invariant under the right action of U . Note that we have changed notation for the closed subgroup to $U \subset G \times G$, because we will later use H for groups along which we will Cheeger deform. Since every orbit of the $\Delta G \times U$ passes through a point of the form $(e, g) \in G \times G$ it is enough to consider only points of this form. An orbit through (e, g) is given by

$$(2.114) \quad F_{(e,g)} = \{(g'u_1^{-1}, g'gu_2^{-1}) : g' \in G, (u_1, u_2) \in U\}.$$

An arbitrary curve contained in $F_{(e,g)}$ is given by

$$(2.115) \quad \gamma(t) = (\exp(tX)\exp(-tU_1), \exp(tX)g\exp(-tU_2)),$$

where $X \in \mathfrak{g}$ and $(U_1, U_2) \in \mathfrak{u}$. By differentiating we get that the vertical subspace of Riemannian submersion (2.113) at the point (e, g) is

$$(2.116) \quad \text{Ver}_{(e,g)}(G \times G) = \{(X - U_1, R_{g*}X - L_{g*}U_2) : X \in \mathfrak{g}, (U_1, U_2) \in \mathfrak{u}\}.$$

Let Φ_1 and Φ_2 be isomorphisms of \mathfrak{g} such that

$$(2.117) \quad g_1(X, Y) = g_0(\Phi_1 X, Y)$$

and

$$(2.118) \quad g_2(X, Y) = g_0(\Phi_2 X, Y),$$

for $X, Y \in \mathfrak{g}$, where g_0 is a bi-invariant metric on G . We look for the horizontal vectors at (e, g) in the form $(\Phi_1^{-1}H_1, L_{g*}\Phi_2^{-1}H_2) \in T_{(e,g)}(G \times G)$, where $H_1, H_2 \in$

\mathfrak{g} . Since Φ_1 and Φ_2 are isomorphisms of \mathfrak{g} and (Id, L_{g*}) is an isomorphism from $T_{(e,e)}(G \times G)$ to $T_{(e,g)}(G \times G)$, there is no loss of generality. A straightforward calculation shows that the horizontal subspace at (e, g) is

$$(2.119) \quad \begin{aligned} \text{Hor}_{(e,g)}(G \times G) &= \{(-\Phi_1^{-1}\text{Ad}_g X, L_{g*}\Phi_2^{-1}X) : \\ &X \in \mathfrak{g}, g_0(X, \text{Ad}_{g^{-1}}U_1 - U_2) = 0 \text{ for all } (U_1, U_2) \in \mathfrak{u}\}. \end{aligned}$$

Note that the map

$$(2.120) \quad X \mapsto (-\Phi_1^{-1}\text{Ad}_g X, L_{g*}\Phi_2^{-1}X)$$

is an isomorphism of linear subspace $\{X \in \mathfrak{g} : g_0(X, \text{Ad}_{g^{-1}}U_1 - U_2) = 0\} \subset \mathfrak{g}$ and $\text{Hor}_{(e,g)}(G \times G)$.

The following Lemma will be used to locate flat 2-planes in Section 4.1.

Lemma 2.39. [7, Lemma 6.1.3] *Let g_1 and g_2 be metrics on compact Lie group G obtained by the iterated Cheeger deformations of the bi-invariant metric g_0 along the chains of subgroups*

$$(2.121) \quad H_n \subset H_{n-1} \subset \dots H_1 \subset H_0 = G,$$

and

$$(2.122) \quad K_m \subset K_{m-1} \subset \dots K_1 \subset K_0 = G,$$

respectively, and let $U \subset H_n \times K_m$ be a closed subgroup. Let

$$(2.123) \quad \pi : (G \times G, g_1 \oplus g_2) \rightarrow (\Delta G \backslash G \times G / U, g),$$

denote the Riemannian submersion to $\Delta G \backslash G \times G / U$ with the induced metric g . The biquotient $(\Delta G \backslash G \times G / U, g)$ has a flat 2-plane at a point $\pi(e, g)$ if there exists a pair of linearly independent vectors $X, Y \in \mathfrak{g}$ such that for all $(U_1, U_2) \in \mathfrak{u}$ we have

$$(2.124) \quad g_0(X, \text{Ad}_{g^{-1}}U_1 - U_2) = g_0(Y, \text{Ad}_{g^{-1}}U_1 - U_2) = 0,$$

and

$$(2.125) \quad [(\text{Ad}_g X)_{\mathfrak{h}_i}, (\text{Ad}_g Y)_{\mathfrak{h}_j}] = 0,$$

$$(2.126) \quad [X_{\mathfrak{k}_i}, Y_{\mathfrak{k}_j}] = 0,$$

hold for all $i = 0, 1, \dots, n$ and $j = 0, 1, \dots, m$. Moreover, any flat 2-plane at $\pi(e, g)$ arises in this fashion.

Proof. First, construct the product of Cheeger deformed metrics on $G \times G$ as in Lemma 2.35 and denote the corresponding Riemannian submersion by

$$(2.127) \quad \rho_1 \times \rho_2 : ((G \times H_1 \times \dots \times H_n) \times (G \times K_1 \times \dots \times K_m), g_H \oplus g_K) \rightarrow (G \times G, g_1 \oplus g_2)$$

, where g_H and g_K are metrics as in (2.102) corresponding to the two chains of subgroups. Next, given $X, Y \in \mathfrak{g}$ such that for all $(U_1, U_2) \in \mathfrak{u}$, $g_0(X, \text{Ad}_{g^{-1}}U_1 -$

$U_2) = g_0(Y, \text{Ad}_{g^{-1}}U_1 - U_2) = 0$ holds, we have the corresponding horizontal vectors at $(e, g) \in G \times G$, as in (2.119)

$$(2.128) \quad \bar{X} = (\bar{X}_1, \bar{X}_2) = (-\Phi_1^{-1}\text{Ad}_g X, L_{g*}\Phi_2^{-1}X)$$

$$(2.129) \quad \bar{Y} = (\bar{Y}_1, \bar{Y}_2) = (-\Phi_1^{-1}\text{Ad}_g Y, L_{g*}\Phi_2^{-1}Y),$$

and \bar{X} and \bar{Y} are linearly independent if and only if X and Y are linearly independent. Because $g_1 + g_2$ is the product of two metrics with non-negative sectional curvature, $\text{sec}_{g_1+g_2}(\bar{X} \wedge \bar{Y}) = 0$, if and only if both $\text{sec}_{g_1}(\bar{X}_1 \wedge \bar{Y}_1) = 0$ and $\text{sec}_{g_2}(\bar{X}_2 \wedge \bar{Y}_2) = 0$ hold. By Lemma 2.36 this is the case if and only if conditions (2.125) and (2.126) hold. It follows that the horizontal 2-plane $\bar{X} \wedge \bar{Y}$ is flat and by Corollary 2.28.1 it projects to a flat 2-plane on $(\Delta G \setminus G \times G/U, g)$. In the other direction, because the horizontal lift of a flat 2-plane at $\pi(e, g)$ is flat, it is of the form $\bar{X} \wedge \bar{Y}$, where \bar{X} and \bar{Y} are given by (2.128) and (2.129), with X and Y satisfying (2.124), (2.125), and (2.126). \square

2.8 First order conformal deformations

In this section we discuss first-order conformal deformations of Riemannian metrics and some related results following [3, Chapter 3].

Definition 2.40. For a compact Riemannian manifold (M, g) , a function $\phi : M \rightarrow \mathbb{R}$, and a small enough $s > 0$, the following is also a Riemannian metric on M

$$(2.130) \quad g_s = (1 + s\phi)g.$$

The metric (2.130) is called the **first-order conformal deformation** of g .

We will use the following Lemmas from [3, Chapter 3] in Section 4.2 to construct a metric of positive distance curvature on $S^3 \times S^2$.

Lemma 2.41. [3, Corollary 3.4.] *Let (M, g) be a Riemannian manifold with $\text{sec}_g \geq 0$, and let $X, Y \in T_m M$ be an orthonormal basis of a flat 2-plane σ $\text{sec}_g(\sigma) = 0$. Then, for a first order conformal deformation of g*

$$(2.131) \quad g_s = (1 + s\phi)g,$$

we have

$$(2.132) \quad \frac{d}{ds}\text{sec}_{g_s}(\sigma)|_{s=0} = -\frac{1}{2}\text{Hess}\phi(X, X) - \frac{1}{2}\text{Hess}\phi(Y, Y).$$

Lemma 2.42. [3, Lemma 3.5] *Let $f : [0, S] \times K \rightarrow \mathbb{R}$ be a smooth function, where $S > 0$ and K is a compact subset of a manifold. Assume that $f(0, x) \geq 0$ for all $x \in K$, and $\frac{\partial f}{\partial s} > 0$ if $f(0, x) = 0$. Then there exists $s_* > 0$ such that $f(s, x) > 0$ for all $x \in K$ and $0 < s < s_*$.*

An important difference between conformal deformations and Cheeger deformations from Section 2.6 is that, while Cheeger deformations preserve lower curvature bounds on the sectional curvature, in general, this is not the case for conformal deformations.

Chapter 3

Positive biorthogonal curvature

3.1 Wu manifold

The main result in this section is a proof that the symmetric space metric on the Wu manifold has positive biorthogonal curvature. The proof relies on a result that was presented in section 2.5. More precisely, we use the fact that flat 2-planes of $SU(3)/SO(3)$ correspond to horizontal flat 2-planes of $SU(3)$ and characterize horizontal flat 2-planes of $SU(3)$ as conjugates of a maximal abelian subalgebra of $\mathfrak{su}(3)$ by elements of $SO(3)$. Finally, we introduce a basis for $\mathfrak{su}(3)$ and use this characterization to show that no two flat 2-planes can be orthogonal, hence, proving the result. The contents of this section, in a more condensed form, can be found in [28].

The Wu manifold $SU(3)/SO(3)$ is a rational homology 5-sphere with second homotopy group of order two [32]. When equipped with a metric $(SU(3)/SO(3), g)$, that makes the canonical submersion

$$(3.1) \quad \begin{aligned} \pi : (SU(3), Q) &\rightarrow (SU(3)/SO(3), g), \\ u &\mapsto uSO(3), \end{aligned}$$

into a Riemannian submersion, the Wu manifold is a symmetric space. In (3.1) Q is a bi-invariant metric on $SU(3)$. As a comparison to the main result of this section, we note that the metric g has positive Ricci curvature. Now we can state the main result of this section.

Proposition 3.1. *The symmetric space $(SU(3)/SO(3), g)$ has positive biorthogonal curvature.*

Proof. The left action of $SU(3)$ on $SU(3)/SO(3)$ induced from left multiplication on $SU(3)$ by (3.1) is transitive and isometric for the symmetric space metric. This means that we can study curvature at one point of $SU(3)/SO(3)$ and isometrically translate the results to any other point. The Cartan decomposition that corresponds to $SU(3)/SO(3)$ is of type AIII, see 2.33.1

$$(3.2) \quad T_e SU(3) \simeq \mathfrak{su}(3) = \mathfrak{so}(3) \oplus \mathfrak{so}(3)^\perp.$$

Decomposition (3.2) is orthogonal with respect to the bi-invariant metric and is precisely the decomposition of $T_e SU(3)$ into vertical and horizontal subspaces of the Riemannian submersion (3.1). Hence, we have

$$(3.3) \quad T_{SO(3)}(SU(3)/SO(3)) \simeq \mathfrak{so}(3)^\perp.$$

Riemannian manifold $(\mathrm{SU}(3)/\mathrm{SO}(3), \mathfrak{g})$ has non-negative sectional curvature because it is the image of a Riemannian submersion from a manifold with non-negative sectional curvature. Hence, to conclude that $\mathrm{SU}(3)/\mathrm{SO}(3)$ has positive biorthogonal curvature, we need to show that no two flat 2-planes are orthogonal to each other. A result of Tapp, stated in the Theorem 2.28, implies that a 2-plane on $\mathrm{SU}(3)/\mathrm{SO}(3)$ is flat if and only if its horizontal lift is flat. Thus, it is enough to consider horizontal flat 2-planes at the identity of $\mathrm{SU}(3)$.

A horizontal 2-plane $X \wedge Y \subset \mathfrak{so}(3)^\perp$ at the identity of $\mathrm{SU}(3)$ is flat if and only if $[X, Y] = 0$. Since the maximal number of linearly independent commuting matrices in $\mathfrak{su}(3)$ is two, every horizontal flat 2-plane corresponds to a maximal abelian subalgebra of $\mathfrak{so}(3)^\perp$

$$(3.4) \quad \mathrm{span}\{X, Y\} = \mathfrak{a} \subset \mathfrak{so}(3)^\perp.$$

By a fundamental fact about Cartan decomposition, see proposition 2.34 for the precise statement, any two maximal abelian subalgebras of $\mathfrak{so}(3)^\perp$ are conjugate by an element of $\mathrm{SO}(3)$. This means that by fixing one maximal abelian subalgebra, or one horizontal flat 2-plane we can parametrize all horizontal flat 2-planes by $\mathrm{SO}(3)$. In what follows we will obtain an explicit parametrization of horizontal flat 2-planes at the identity of $\mathrm{SU}(3)$, and so a parametrization of flat 2-planes at a point of $\mathrm{SU}(3)/\mathrm{SO}(3)$ by choosing a basis for $\mathfrak{su}(3)$, fixing a horizontal flat 2-plane and parametrizing $\mathrm{SO}(3)$ by Euler angles. We use this explicit parametrization to show that no two flat 2-planes can be orthogonal. For the basis of $\mathfrak{su}(3)$, we choose $\{-i\lambda_i\}_{i=1,\dots,8}$, where the λ_i 's are traceless, self-adjoint 3 by 3 matrices known as the Gell-Mann matrices, see Appendix A. The scalar product on $\mathfrak{su}(3)$ that corresponds to the bi-invariant metric is

$$(3.5) \quad \langle X, Y \rangle = -\frac{1}{2} \mathrm{Tr}(XY),$$

for $X, Y \in \mathfrak{su}(3)$ and the basis $\{-i\lambda_i\}_{i=1,\dots,8}$ is orthonormal with respect to (3.5). The Cartan decomposition (3.2) in this basis is

$$(3.6) \quad \mathfrak{so}(3) = \mathrm{span}\{-i\lambda_2, -i\lambda_5, -i\lambda_7\}$$

and

$$(3.7) \quad \mathfrak{so}(3)^\perp = \mathrm{span}\{-i\lambda_1, -i\lambda_3, -i\lambda_4, -i\lambda_6, -i\lambda_8\}.$$

Matrices λ_3 and λ_8 are diagonal, so we use $-\lambda_3 \wedge \lambda_8$ for the reference horizontal flat 2-plane. Every horizontal flat 2-plane, $X \wedge Y$, with $X, Y \in \mathfrak{so}(3)^\perp$ such that $[X, Y] = 0$, can now be written as

$$(3.8) \quad X \wedge Y = -\mathrm{Ad}_r(\lambda_3 \wedge \lambda_8),$$

for some $r \in \mathrm{SO}(3)$. Suppose that $X \wedge Y$ and $X' \wedge Y'$ are two such 2-planes with, $X \wedge Y$ given by (3.8), and $X' \wedge Y'$ by

$$(3.9) \quad X' \wedge Y' = -\mathrm{Ad}_{r'}(\lambda_3 \wedge \lambda_8),$$

for some $r' \in \mathrm{SO}(3)$. For the 2-planes (3.8) and (3.9) to be orthogonal it is necessary and sufficient that the equations

$$(3.10) \quad \langle \mathrm{Ad}_r \lambda_3, \mathrm{Ad}_{r'} \lambda_3 \rangle = 0,$$

$$(3.11) \quad \langle \text{Ad}_r \lambda_3, \text{Ad}_{r'} \lambda_8 \rangle = 0,$$

$$(3.12) \quad \langle \text{Ad}_r \lambda_8, \text{Ad}_{r'} \lambda_3 \rangle = 0,$$

and

$$(3.13) \quad \langle \text{Ad}_r \lambda_8, \text{Ad}_{r'} \lambda_8 \rangle = 0$$

hold. Using the Ad-invariance of the bi-invariant metric, equations (3.10), (3.11), (3.12), and (3.13) can be rewritten as

$$(3.14) \quad \langle \lambda_3, \text{Ad}_{r^{-1}r'} \lambda_3 \rangle = 0,$$

$$(3.15) \quad \langle \lambda_3, \text{Ad}_{r^{-1}r'} \lambda_8 \rangle = 0,$$

$$(3.16) \quad \langle \lambda_8, \text{Ad}_{r^{-1}r'} \lambda_3 \rangle = 0,$$

and

$$(3.17) \quad \langle \lambda_8, \text{Ad}_{r^{-1}r'} \lambda_8 \rangle = 0.$$

We now use the Euler angle parametrization of $\text{SO}(3)$ to write $r^{-1}r' \in \text{SO}(3)$ as

$$(3.18) \quad r^{-1}r' = \exp(-i\lambda_2 x) \exp(-i\lambda_5 y) \exp(-i\lambda_2 z),$$

where $x, y, z \in \mathbb{R}$. Plugging (3.18) into equations (3.14), (3.15), (3.16), and (3.17) and calculating the traces explicitly, we find

$$(3.19) \quad 0 = \langle \lambda_3, \text{Ad}_{r^{-1}r'} \lambda_3 \rangle = \frac{1}{4} \cos(2x) (3 + \cos(2y)) \cos(2z) - \sin(2x) \cos(y) \sin(2z),$$

$$(3.20) \quad 0 = \langle \lambda_3, \text{Ad}_{r^{-1}r'} \lambda_8 \rangle = -\frac{\sqrt{3}}{2} \cos(2x) \sin^2(y),$$

$$(3.21) \quad 0 = \langle \lambda_8, \text{Ad}_{r^{-1}r'} \lambda_3 \rangle = -\frac{\sqrt{3}}{2} \cos(2z) \sin^2(y),$$

and

$$(3.22) \quad 0 = \langle \lambda_8, \text{Ad}_{r^{-1}r'} \lambda_8 \rangle = \frac{1}{4} (1 + 3\cos(2y)).$$

Equations (3.20), (3.21), and (3.22) imply $\cos^2(y) = 1/3$ and $\cos(2x) = \cos(2z) = 0$. Plugging this into equation (3.19), we obtain

$$(3.23) \quad \langle \lambda_3, \text{Ad}_{r^{-1}r'} \lambda_3 \rangle \neq 0,$$

and conclude that there is no solution to the system given by equations (3.19), (3.20), (3.21), and (3.22). This shows that no two flat 2-planes are orthogonal. \square

In section 4.3 we will show that all closed simply connected with torsion-free homology and zero second Stiefel-Whitney class admit a metric of positive biorthogonal curvature. The Wu manifold doesn't satisfy these conditions on the homology and the second Stiefel-Whitney class, suggesting that they are technical in nature.

3.2 Bettiol's construction

In this section, we will review Bettiol's construction of metric with positive distance curvature for any $\theta > 0$ on $S^2 \times S^2$ given in [3, Chapter 6] and [4]. Our construction of a metric with the same property on $S^3 \times S^2$ in Sections 4.1 and 4.2 closely parallels Bettiol's construction. The construction is carried out in two steps. First, the product of the round metrics on $S^2 \times S^2$ is deformed to a metric where almost all points have a unique flat curvature plane, and then this metric is conformally deformed to a metric of positive distance curvature. Finally, we show that Bettiol's construction can be made to commute with taking certain discrete quotients, and thus gives metrics of positive distance curvature on $S^2 \times \mathbb{P}\mathbb{R}^2 = S^2 \times S^2/\mathbb{Z}_2$ and $L'_2 = S^2 \times S^2/\mathbb{Z}_2$ as well.

The first step of the construction is carried out by a general version of Cheeger deformation, whose particular case was discussed in Section 2.6. Given a Riemannian manifold (M, g) and a Lie group G that acts freely and by isometries on (M, g) . One considers a following Riemannian submersion

$$(3.24) \quad \pi : (M \times G, g + \frac{1}{t}Q) \rightarrow (M, g_t),$$

where t is a positive real number and Q is a bi-invariant metric on G . For $(m, g) \in M \times G$, π is given by $\pi(m, g) = g^{-1}m$ and M is obtained as the orbit space of the action

$$(3.25) \quad g'(m, g) = (g'm, g'g),$$

for $g, g' \in G$ and $m \in M$, of G on $G \times M$. Action (3.25) is by isometries on the product $(M \times G, g + \frac{1}{t}Q)$, and thus the metric g_t is well defined. The family of metrics g_t , for $t > 0$ is called the Cheeger deformation of g . Bettiol's construction starts with the

$$(3.26) \quad S^2 \times S^2 = \{(p_1, p_2) \in \mathbb{R}^3 \times \mathbb{R}^3 : \|p_1\|^2 = \|p_2\|^2 = 1\} \subset \mathbb{R}^3 \times \mathbb{R}^3,$$

where the metric g on $S^2 \times S^2$ is induced from the product of canonical metrics on \mathbb{R}^3 . Next, the diagonal action of $SO(3)$ on $S^2 \times S^2$ given by

$$(3.27) \quad A(p_1, p_2) = (Ap_1, Ap_2),$$

for $A \in SO(3)$ and $(p_1, p_2) \in S^2 \times S^2$, is used to obtain the Cheeger deformation of g . The Cheeger deformed metric g_t has non-negative sectional curvature, and Bettiol shows that at points away from submanifolds

$$(3.28) \quad \Delta^\pm = \{(p_1, \pm p_1) \in S^2 \times S^2\} \simeq S^2,$$

there is exactly one flat 2-plane. So, at these points the distance curvature is positive. However, at each point of (3.28) there is 1-parameter family of flat 2-planes. Furthermore at these points of (3.28) even the Biorthogonal curvature can be zero.

Second step of the construction involves a first order deformation of the metric g_t . The conformal factor is given by

$$(3.29) \quad f = -\chi_+\psi_+ - \chi_-\psi_- ,$$

where χ_+ is the bump function of Δ^+ , i.e., function that is identically one in a tubular neighborhood of Δ^+ and identically zero outside of a larger tubular neighborhood of Δ^+ , while ψ^+ is the square of the Riemannian distance function from Δ_+

$$(3.30) \quad \psi_+(m) = \text{dist}_{g_t}(m, \Delta^+)^2.$$

Functions χ_- and ψ^- are similarly defined for Δ_- . Bettiol then proceeds to show that the first order conformally deformed metric

$$(3.31) \quad g_{s,t} = (1 + sf)g_t,$$

has positive distance curvature for any $\theta > 0$. We mimic this construction precisely in Section 4.2, and give the details there.

Important thing to note here is that while Cheeger deformation preserves the non-negativity sectional curvature of the starting metric g , first order conformal deformation does not, i.e., there is a 2-plane $\sigma \in \text{Gr}_2(T_m(S^2 \times S^2))$ with $\text{sec}_{g_{s,t}} < 0$.

Now we will show that the construction can be used to obtain discrete quotients

$$(3.32) \quad S^2 \times \mathbb{RP}^2 = S^2 \times S^2 / \mathbb{Z}_2,$$

and

$$(3.33) \quad L'_2 = S^2 \times S^2 / \mathbb{Z}_2.$$

We start with (3.32). First observe that the involution

$$(3.34) \quad I : S^2 \times S^2 \rightarrow S^2 \times S^2$$

given by

$$(3.35) \quad (p_1, p_2) \mapsto (p_1, -p_2),$$

for $(p_1, p_2) \in S^2 \times S^2$ is an isometry of the metric g . Furthermore, it is easy to see that the involution (3.34) commutes with the action (3.27). It follows that I is also an isometry of the Cheeger deformed metric. This means that there is a well defined metric on the quotient $S^2 \times S^2 / I = S^2 \times \mathbb{RP}^2$ such that the quotient map is a Riemannian submersion. Since Riemannian submersions don't decrease the curvature, the lower curvature bound is preserved. If we could show that I is also an isometry of conformally deformed metric we would have obtained a metric of positive distance curvature on $S^2 \times \mathbb{RP}^2$. A necessary and sufficient condition for I to be an isometry of $g_{s,t}$ is for the conformal factor to be invariant, i.e.,

$$(3.36) \quad f \circ I = f.$$

Note that because I interchanges Δ^+ and Δ^- and it is an isometry of g_t . We have that

$$(3.37) \quad \psi_{\pm} \circ I = \psi_{\mp}.$$

Next we choose the bump functions in such a way to satisfy

$$(3.38) \quad \chi_{\pm} \circ I = \chi_{\mp}.$$

From (3.29), (3.37), and (3.38) is clear that (3.36) holds. Thus metric of positive distance curvature on $S^2 \times S^2$ desends to $S^2 \times \mathbb{RP}^3$.

Following a similar line of reasoning for the involution

$$(3.39) \quad J : S^2 \times S^2 \rightarrow S^2 \times S^2$$

defined by

$$(3.40) \quad J : (p_1, p_2) \mapsto (-p_1, -p_2),$$

we obtain a metric of positive distance curvature on L'_2 .

3.3 Connected sums

We first state the well-known definition of connected sum following Kervaire-Milnor [22, Section 2]; cf. [14, Definition 1.3.4].

Definition 3.2. Let M_1 and M_2 be closed connected oriented n -manifolds and let

$$(3.41) \quad i_i : D^n \hookrightarrow M_i$$

be embeddings of the n -disk for $i = 1, 2$. Suppose that the embedding i_1 preserves orientation, while i_2 reverses it. The connected sum of M_1 and M_2 is the n -manifold defined as

$$(3.42) \quad M_1 \# M_2 := \frac{(M_1 \setminus i_1(0)) \sqcup (M_2 \setminus i_2(0))}{\sim}$$

where the equivalence relation identifies $i_1(tu)$ with $i_2((1-t)u)$ for each unit vector $u \in S^{n-1} = \partial D^n$ and $0 < t < 1$.

A key ingredient in the proofs in this section and those in Section 4.3, is the following surgery stability result regarding Riemannian metrics of positive biorthogonal curvature.

Proposition 3.3. *Bettiol [3, Proposition 7.11]. Let (M_1, g_1) and (M_2, g_2) be closed smooth n -manifolds. Suppose that $\sec_{g_i}^\perp > 0$ for $i = 1, 2$. There is a Riemannian metric $(M_1 \# M_2, g)$ such that $\sec_g^\perp > 0$.*

Proof of Proposition 3.3 relies on the work by Hoelzel [16].

Bettiol classified up to homeomorphism the closed simply connected 4-manifolds that admit a metric of positive biorthogonal curvature in [2].

Theorem 3.4. [2, Section 1, Theorem]

Let M be a closed smooth simply connected 4-manifold. Up to homeomorphism, the following are equivalent:

1. M admits a metric with $\sec^\perp > 0$;
2. M admits a metric with $\text{Ric} > 0$;
3. M admits a metric with $\text{scal} > 0$.

Remark 3.4.1. *Homeomorphism classes of manifolds from Theorem 3.4 are*

$$(3.43) \quad m\mathbb{C}\mathbb{P}^2 \# n\overline{\mathbb{C}\mathbb{P}^2},$$

if $w_2(M) \neq 0$ and to

$$(3.44) \quad n(S^2 \times S^2) \# S^4$$

if $w_2(M) = 0$ for $m, n \in \mathbb{N}_0$.

In this section, we show that Bettiol's work yields further classification results on closed 4-manifolds with non-trivial fundamental group.

Lemma 3.5. *A closed smooth orientable 4-manifold with infinite cyclic fundamental group is homeomorphic to a 4-manifold that admits a Riemannian metric of positive biorthogonal curvature if and only if it is homeomorphic to a 4-manifold that admits a Riemannian metric of positive scalar curvature.*

Proof. By [19, Corollary 1.2], see also [20] and [17], every closed smooth orientable 4-manifold with infinite cyclic fundamental group is TOP-split, i.e., it can be written as a connected sum $S^1 \times S^3 \# M_1$ where M_1 is a simply connected 4-manifold. Since $S^1 \times S^3$ with the product metric has positive scalar curvature and positive biorthogonal curvature, and positivity of scalar curvature and positivity of biorthogonal curvature, Proposition 3.3, being closed under connected sum operation. The connected sum $S^1 \times S^3 \# M_1$ admits a metric of positive scalar(biorthogonal) curvature if and only if M_1 admits a metric of positive scalar(biorthogonal) curvature. Since M_1 is simply connected, by Theorem 3.4, M_1 admits a metric of positive scalar curvature if and only if it admits a metric of positive biorthogonal curvature and the claim follows. \square

Lemma 3.6. *Let M be a closed connected nonorientable 4-manifold with fundamental group of order two such that $w_1^2(M) + w_2(M) = 0$. Then M is homeomorphic to a manifold that admits a Riemannian metric of positive biorthogonal curvature.*

Proof. According to Hambleton-Kreck-Teichner [18, Theorem 1 and Theorem 3], such a 4-manifold is homeomorphic to

$$(3.45) \quad S^2 \times \mathbb{R}\mathbb{P}^2 \# (n-1)(S^2 \times S^2)$$

for a given $n \in \mathbb{N}$. The results of Bettiol stated in Theorem 3.4, the fact that $S^2 \times \mathbb{R}\mathbb{P}^2$ admits a metric of positive biorthogonal curvature as was shown in Section 3.2, and Proposition 3.3 imply that the 4-manifolds (3.45) admit a Riemannian metric of positive biorthogonal curvature for every $n \in \mathbb{N}$. \square

We say that a 4-manifold M has a w_2 -type (I) if the second Stiefel-Whitney class of its universal cover is non-zero $w_2(\tilde{M}) \neq 0$, w_2 -type (II) if its second Stiefel-Whitney class is zero $w_2(M) = 0$, and w_2 -type (III) if $w_2(M) \neq 0$, but $w_2(\tilde{M}) = 0$.

Lemma 3.7. *Every closed smooth orientable 4-manifold with fundamental group of order two and w_2 -type (I) and (III) is homeomorphic to a 4-manifold that admits a metric of positive biorthogonal curvature.*

Proof. According to Hambleton-Kreck-Teichner [18, Theorem 1 and Theorem 3], such a 4-manifold is homeomorphic to

$$(3.46) \quad n\mathbb{C}\mathbb{P}^2 \# m\overline{\mathbb{C}\mathbb{P}^2} \# L'_2,$$

for w_2 -type (I), and

$$(3.47) \quad (k-1)(S^2 \times S^2) \# L'_2,$$

for w_2 -type (III). By Proposition 3.3 and the fact that L'_2 admits a metric of positive biorthogonal curvature as was shown in Section 3.2, each of them admits a metric of positive biorthogonal curvature. \square

Chapter 4

Positive Distance Curvature on $S^3 \times S^2$

We proceed to prove the main result of this thesis.

Theorem 4.1. *For every $\theta > 0$, there is a Riemannian manifold $(S^3 \times S^2, g^\theta)$ such that:*

1. $\sec_{g^\theta}^\theta > 0$.
2. *There is a metric g^0 such that $g^\theta \rightarrow g^0$ in the C^k -topology as $\theta \rightarrow 0$ for $k \geq 0$. The metric g^0 is Wilking's metric g_W of almost-positive curvature.*
3. *There is a 2-plane $\sigma \in \text{Gr}_2(T_m(S^3 \times S^2))$ with $\sec_{g^\theta}^\theta(\sigma) < 0$.*
4. $\text{Ric}_{g^\theta} > 0$.

In particular, there is a Riemannian metric of positive biorthogonal curvature on $S^3 \times S^2$.

The proof of Theorem 4.1 consists of two steps and it builds upon Bettiol's construction of a metric with positive distance curvature for any $\theta > 0$ on $S^2 \times S^2$ given in [3, Chapter 6] and [4]. We described Bettiol's construction in Section 3.2. Theorem 4.1 should be compared [4, Theorem].

The Chapter is structured as follows. In Section 4.1 we review Wilking's metric of almost positive curvature on $S^3 \times S^2$. In [30], also see [33, Section 5]. This is the first step of the construction and it involves Cheeger deformation. The second step of the construction involves a first order conformal deformation of Wilking's metric and is given in Section 4.2.

4.1 Metric of almost positive curvature on $S^3 \times S^2$

In [30], Wilking constructed a metric of almost positive curvature on $\mathbb{R}P^3 \times \mathbb{R}P^2$; see Definition 2.17. Since $\mathbb{R}P^3 \times \mathbb{R}P^2$ an odd-dimensional and non-orientable manifold, Synge's Theorem implies it does not admit a metric of positive sectional curvature. Hence, Wilking's result is a counterexample to the deformation conjecture. In what follows we will be interested in a metric with almost positive curvature on $S^3 \times S^2$, as it was described in [33, Section 5]. These two metrics are related in the following

way. A metric on $S^3 \times S^2$ arises as the pullback of a metric on $\mathbb{R}P^3 \times \mathbb{R}P^2$ by the universal covering map. The following construction is essentially the same as Willking's construction from [30].

Since S^3 is parallelizable, its unit tangent sphere bundle is

$$(4.1) \quad T_1 S^3 = S^3 \times S^2$$

which can be embedded into $\mathbb{R}^4 \times \mathbb{R}^4 = \mathbb{H} \times \mathbb{H}$ in the following way

$$(4.2) \quad S^3 \times S^2 = \{(p, v) \in \mathbb{H} \times \mathbb{H}; |p| = |v| = 1, \langle p, v \rangle = 0\} \subset \mathbb{H} \times \mathbb{H}.$$

Here $\langle x, y \rangle = \operatorname{Re}(\bar{x}y)$ and $|x|^2 = \langle x, x \rangle$. The group $G = \operatorname{Sp}(1) \times \operatorname{Sp}(1) = S^3 \times S^3$, acts on $S^3 \times S^2$ by

$$(4.3) \quad (q_1, q_2) \star (p, v) = (q_1 p \bar{q}_2, q_1 v \bar{q}_2),$$

for all $(q_1, q_2) \in \operatorname{Sp}(1) \times \operatorname{Sp}(1)$. This action is effective and transitive. The isotropy group of $(1, i) \in S^3 \times S^2$ is $H = \{(e^{i\phi}, e^{i\phi}) \in \operatorname{Sp}(1) \times \operatorname{Sp}(1)\} < G$. Note that $H \simeq S^1$. Thus, $S^3 \times S^2 \simeq G/H$ is a homogeneous space. Discrete subgroup $L < G$ generated by $(1, -1)$ and (j, j) normalizes H , so it follows that $\mathbb{R}P^3 \times \mathbb{R}P^2 \simeq G/(LH)$. Willking considered this homogeneous space as a biquotient. We now will do the same but for $S^3 \times S^2 = G/H$, as it is done in [33, Section 5], but in more detail.

We start by defining a left invariant metric Q_t , on $G = \operatorname{Sp}(1) \times \operatorname{Sp}(1)$ by Cheeger deforming a bi-invariant metric on G along the diagonal subgroup $\Delta\operatorname{Sp}(1) = \{(a, a) \in \operatorname{Sp}(1) \times \operatorname{Sp}(1)\} < \operatorname{Sp}(1) \times \operatorname{Sp}(1)$. This metric can be written as (3.12)

$$(4.4) \quad Q_t((X, Y), (X', Y')) = Q(\Phi_t(X, Y), (X', Y')),$$

where we take $t = \frac{1}{2}$ for the deformation parameter in Φ_t , i.e.,

$$(4.5) \quad \Phi_t(X, Y) = (X, Y) - \frac{1}{2}P(X, Y),$$

denoting by P the projection onto the diagonal subalgebra $\Delta\mathfrak{sp}(1) \subset \mathfrak{sp}(1) \oplus \mathfrak{sp}(1)$. Explicitly:

$$(4.6) \quad \begin{aligned} P(X, Y) &= \frac{1}{2}(X + Y, X + Y) \\ (1 - P)(X, Y) &= \frac{1}{2}(X - Y, -X + Y). \end{aligned}$$

The induced metric g_t on

$$(4.7) \quad S^3 \times S^2 \simeq G/H \cong \Delta G \backslash G \times G / \{(1, 1)\} \times H$$

is the one that makes the biquotient submersion

$$(4.8) \quad \pi : (G \times G, Q_t \oplus Q_t) \rightarrow (S^3 \times S^2, g_t)$$

into a Riemannian submersion. Note that π is explicitly

$$(4.9) \quad \pi((a, b), (c, d)) = ((a, b)^{-1}(c, d)) \star (1, i) = (\bar{a}c\bar{d}b, \bar{a}c i \bar{d}b) \in S^3 \times S^2.$$

Next we locate flat 2-planes on $(S^3 \times S^2, g_t)$ using Lemma 2.39. Every flat 2-plane at $\pi((1, 1), (a, b))$ is a projection of a horizontal flat 2-plane $H_1 \wedge H_2$ at $((1, 1), (a, b))$ spanned by (from now on we drop the index t from Φ)

$$(4.10) \quad \begin{aligned} H_1 &= (-\Phi^{-1}\text{Ad}_{(a,b)}(V, W), L_{(a,b)*}\Phi^{-1}(V, W)) \\ H_2 &= (-\Phi^{-1}\text{Ad}_{(a,b)}(V', W'), L_{(a,b)*}\Phi^{-1}(V', W')) , \end{aligned}$$

where $(V, W) \in \mathfrak{sp}(1) \oplus \mathfrak{sp}(1)$ is such that

$$(4.11) \quad \mathbb{Q}((V, W), (i, i)) = \mathbb{Q}((V', W'), (i, i)) = 0,$$

and

$$(4.12) \quad \begin{aligned} [\text{Ad}_{(a,b)}(V, W), \text{Ad}_{(a,b)}(V', W')] &= 0 \\ [P\text{Ad}_{(a,b)}(V, W), P\text{Ad}_{(a,b)}(V', W')] &= 0 \\ [(V, W), (V', W')] &= 0 \\ [P(V, W), P(V', W')] &= 0. \end{aligned}$$

Since the Lie algebra of $\{(1, 1)\} \times H$ is spanned by $((0, 0), (i, i))$ condition (4.11) is necessary and sufficient for H_1 and H_2 to be horizontal. Conditions (4.12) are necessary and sufficient for the 2-plane $H_1 \wedge H_2$ to be flat. When solving (4.11) and (4.12) one should note that they are linear in (V, W) and (V', W') , so that the space of solutions corresponding to the flat 2-plane $H_1 \wedge H_2$ is $\text{span}\{(V, W), (V', W')\}$. Solutions to (4.11) and (4.12) are of the form $\text{span}\{(V, 0), (0, V)\}$, where $V \in \text{Im}(\mathbb{H})$ is nonzero, satisfies $\langle V, i \rangle = 0$, and $[\text{Ad}_a V, \text{Ad}_b V] = 0$. For every such V we get a flat 2-plane at $\pi((1, 1), (a, b))$ that is a projection of a flat 2-plane spanned by

$$(4.13) \quad \begin{aligned} H_1 &= (-\Phi^{-1}\text{Ad}_{(a,b)}(V, 0), L_{(a,b)*}\Phi^{-1}(V, 0)) \\ H_2 &= (-\Phi^{-1}\text{Ad}_{(a,b)}(0, V), L_{(a,b)*}\Phi^{-1}(0, V)) . \end{aligned}$$

The condition $[\text{Ad}_a V, \text{Ad}_b V] = 0$ is equivalent to $\text{Ad}_{\bar{a}b}V = \pm V$. For the plus sign we have $\bar{a}bV = V\bar{a}b$, so that $\bar{a}b$ has to be proportional to V , thus because $\langle V, i \rangle = 0$ we have $\langle \bar{a}b, i \rangle = 0$. For the minus sign, real part of $\bar{a}b$ must be zero, i.e., $\langle \bar{a}b, 1 \rangle = 0$. Flat 2-planes on $S^3 \times S^2$ are located on submanifolds $\pi(S_1)$ and $\pi(S_i)$, with

$$(4.14) \quad \begin{aligned} S_1 &= \{((1, 1), (a, b)) \in G \times G : \langle \bar{a}b, 1 \rangle = 0\} , \\ S_i &= \{((1, 1), (a, b)) \in G \times G : \langle \bar{a}b, i \rangle = 0\} . \end{aligned}$$

Now that we have located the flat 2-planes, a natural question is how many of them are there at each point. Let us fix the length of V by $\langle V, V \rangle = 1$ and note that V and $-V$ give the same 2-plane. If $\langle \bar{a}b, 1 \rangle = 0$, condition $\text{Ad}_{\bar{a}b}V = -V$ is equivalent to $\langle \bar{a}b, V \rangle = 0$, thus if $\bar{a}b \neq \pm i$ then there are three independent conditions on V : $\langle V, V \rangle = 1$, $\langle V, i \rangle = 0$, and $\langle V, \bar{a}b \rangle = 0$. These conditions determine a unique V , thus they determine a unique flat 2-plane. However, if $\bar{a}b = \pm i$ then conditions $\langle V, i \rangle = 0$ and $\langle V, \bar{a}b \rangle = 0$ are the same, so there is a one-parameter family of flat 2-planes at these points. Similarly, for $\langle \bar{a}b, i \rangle = 0$ and $\bar{a}b \neq \pm 1$, condition $\text{Ad}_{\bar{a}b}V = V$, provided that $\langle V, V \rangle = 1$, is equivalent to $\langle \bar{a}b, V \rangle = \pm 1$, so we get three independent conditions determining a unique V . However, if $\bar{a}b = \pm 1$ then $\text{Ad}_{\bar{a}b}V = V$ is satisfied

for every V , so we have two conditions giving a one-parameter family of flat 2-planes. Let $S_{1,\pm i}$ and $S_{i,\pm 1}$ be submanifolds of S_1 and S_i defined by

$$(4.15) \quad \begin{aligned} S_{1,\pm i} &= \{((1, 1), (a, b)) \in G \times G : \bar{a}b = \pm i\} \subset S_1, \\ S_{i,\pm 1} &= \{((1, 1), (a, b)) \in G \times G : \bar{a}b = \pm 1\} \subset S_i. \end{aligned}$$

At each point of $\pi(S_1 \setminus (S_{1,i} \cup S_{1,-i}))$ and $\pi(S_i \setminus (S_{i,1} \cup S_{i,-1}))$ there is exactly one flat 2-plane, while at each point of $\pi(S_{1,i})$, $\pi(S_{1,-i})$, $\pi(S_{i,1})$, and $\pi(S_{i,-1})$ there is a one-parameter family of flat 2-planes. Both $\pi(S_1)$ and $\pi(S_i)$ are diffeomorphic to $S^2 \times S^2$, with $\pi(S_1) \cap \pi(S_i)$ being diffeomorphic to $SO(3)$, while $\pi(S_{1,i})$, $\pi(S_{1,-i})$, $\pi(S_{i,1})$, and $\pi(S_{i,-1})$ are all diffeomorphic to S^2 . For example:

$$(4.16) \quad \begin{aligned} \pi(S_{1,\pm i}) &= \{(\mp ai\bar{a}, \pm 1); a \in \text{Sp}(1)\} = S^2 \times \{\pm 1\} \subset S^3 \times S^2, \\ \pi(S_{i,\pm 1}) &= \{(\pm 1, \pm ai\bar{a}); a \in \text{Sp}(1)\} = \{\pm 1\} \times S^2 \subset S^3 \times S^2. \end{aligned}$$

Next, consider the diagonal action of $\text{Sp}(1)$ from the right on the first factor of $G \times G$,

$$(4.17) \quad g * ((a, b), (c, d)) = ((ag, bg), (c, d)).$$

Action (4.17) is isometric with respect to $\mathfrak{g}_t \oplus \mathfrak{g}_t$ and commutes with the actions of ΔG and $\{(1, 1)\} \times H$, thus it induces the following isometric action of $\text{Sp}(1)$ on $S^3 \times S^2$:

$$(4.18) \quad g * (p, v) = (\bar{g}pg, \bar{g}vg).$$

Kernel of action (4.18) is $\{1, -1\} \subset \text{Sp}(1)$, thus the action (4.18) is an effective action of $SO(3) = \text{Sp}(1)/\{1, -1\}$. It is easy to check that for all $g \in \text{Sp}(1)$, $g*\pi(S_1) \subset \pi(S_1)$ and $g*\pi(S_i) \subset \pi(S_i)$ hold, thus action (4.18) restricts to actions on $\pi(S_1)$ and $\pi(S_i)$. The diffeomorphism

$$(4.19) \quad \begin{aligned} \phi_1 : \pi(S_1) &\rightarrow S^2 \times S^2 = (\text{Im}(\mathbb{H}) \cap Sp(1)) \times (\text{Im}(\mathbb{H}) \cap Sp(1)) \\ (p, v) &\mapsto (p, \bar{p}v) \end{aligned}$$

intertwines restriction of the action (4.18) to $\pi(S_1)$ and the diagonal action $SO(3)$ on $S^2 \times S^2$ given by the usual rotation action of $SO(3)$ on each of the factors realized via conjugations by unit quaternions. Similarly, the diffeomorphism

$$(4.20) \quad \begin{aligned} \phi_i : \pi(S_i) &\rightarrow S^2 \times S^2 = (\text{Im}(\mathbb{H}) \cap Sp(1)) \times (\text{Im}(\mathbb{H}) \cap Sp(1)) \\ (p, v) &\mapsto (p\bar{v}, v) \end{aligned}$$

intertwines restriction of (4.18) to $\pi(S_i)$ with the diagonal action of $SO(3)$ on $S^2 \times S^2$. Thus the restriction of the action (4.18) to $\pi(S_1) = S^2 \times S^2$ is a cohomogeneity one action equivalent to the diagonal action of $SO(3)$ and similarly for restriction to $\pi(S_i)$. Singular orbits on $\pi(S_1)$ are given by $\pi(S_{1,\pm i}) = S^2$ while singular orbits on $\pi(S_i)$ are given by $\pi(S_{i,\pm 1}) = S^2$. While $SO(3)$ acts on $\pi(S_1) \cap \pi(S_i)$ freely and transitively, so $\pi(S_1) \cap \pi(S_i) = SO(3)$.

Flat 2-planes on $\pi(S_1)$ are tangent to $\pi(S_1)$ and are vertical with respect to the projection $\text{pr} : \pi(S_1) \rightarrow \pi(S_1)/SO(3)$. Similarly, flat 2-planes on $\pi(S_i)$ are tangent to $\pi(S_i)$ and are vertical with respect to the projection $\text{pr} : \pi(S_i) \rightarrow \pi(S_i)/SO(3)$.

The $\text{SO}(3)$ -actions on $\pi(S_1)$ and $\pi(S_i)$ dictate the number of flat 2-planes on $\pi(S_1)$ and $\pi(S_i)$. $\text{SO}(3)$ -action is isometric, so its induced action on the Grassmanian preserves curvatures and, in particular, maps flat 2-planes to flat 2-planes. There is no nontrivial element of $\text{SO}(3)$ that fixes a point in $\pi(S_1 \setminus (S_{1,i} \cup S_{1,-i}))$, so flat 2-plane at a point of $\pi(S_1 \setminus (S_{1,i} \cup S_{1,-i}))$ can only be mapped to a flat 2-plane at some other point of $\pi(S_1 \setminus (S_{1,i} \cup S_{1,-i}))$. However, a point of $\pi(S_{1,i})$, for example, is fixed by a subgroup $\text{SO}(2) \subset \text{SO}(3)$, so the action of $\text{SO}(2)$ on a flat 2-plane at a point of $\pi(S_{1,i})$ gives a one-parameter family of flat 2-planes at that point.

4.2 Metric of positive distance curvature on the 5-manifold $S^3 \times S^2$

The next step in our construction is to apply conformal deformations to the metric of almost positive curvature g_t on $S^3 \times S^2$ from the previous section in order to obtain metric a with positive distance curvature 2.14 curvature on $S^3 \times S^2$. Actually, the construction yields a metric that satisfies a stronger condition $\text{sec}^\theta > 0$ for all $\theta > 0$, see [3, Chapter 5]. We will use a deformation similar to the one Bettiol uses to construct a metric of $\text{sec}_g^\theta > 0$ on $S^2 \times S^2$ in [4].

Analogously to Bettiol's construction of a metric with $\text{sec}_g^\theta > 0$ for any $\theta > 0$ on $S^2 \times S^2$ [4], [3, Proposition 6.5], we prove the following

Theorem 4.2. *Manifold $S^3 \times S^2$ admits a metric of positive distance curvature, $\text{sec}^\theta > 0$, for any $\theta > 0$. In particular, $S^3 \times S^2$ admits a metric of positive biorthogonal curvature.*

Proof. Start with the metric of almost positive curvature g_t on $S^3 \times S^2$ from previous section and consider its first-order conformal deformation. Following submanifolds of $S^3 \times S^2$, $\pi(S_{1,i})$, $\pi(S_{1,-i})$, $\pi(S_{i,1})$, and $\pi(S_{i,-1})$ are compact and pairwise disjoint, as it can be seen from (4.16). This means that they admit pairwise disjoint tubular neighborhoods and by using partitions of unity one can construct a function $\chi_{1,i} : S^3 \times S^2 \rightarrow \mathbb{R}$ that is identically zero outside of a tubular neighborhood of $\pi(S_{1,i})$ and identically one inside a smaller tubular neighborhood of $\pi(S_{1,i})$. Similarly, one constructs functions $\chi_{1,-i}$, $\chi_{i,1}$ and $\chi_{i,-1}$, with the same property, but for the submanifolds $\pi(S_{1,-i})$, $\pi(S_{i,1})$ and $\pi(S_{i,-1})$. Next, consider functions from $S^3 \times S^2$ to the reals given by

$$(4.21) \quad \begin{aligned} \psi_{1,i}(m) &= \text{dist}_{g_t}(m, \pi(S_{1,i}))^2 \\ \psi_{1,-i}(m) &= \text{dist}_{g_t}(m, \pi(S_{1,-i}))^2, \\ \psi_{i,1}(m) &= \text{dist}_{g_t}(m, \pi(S_{i,1}))^2, \\ \psi_{i,-1}(m) &= \text{dist}_{g_t}(m, \pi(S_{i,-1}))^2, \end{aligned}$$

for $p \in S^3 \times S^2$. Here dist_{g_t} is the distance function on $(S^3 \times S^2, g_t)$ considered as a complete metric space. Now define a function $\phi : S^3 \times S^2 \rightarrow \mathbb{R}$ as

$$(4.22) \quad \phi := -\chi_{1,i}\psi_{1,i} - \chi_{1,-i}\psi_{1,-i} - \chi_{i,1}\psi_{i,1} - \chi_{i,-1}\psi_{i,-1},$$

and use it to first-order conformally deform g_t ,

$$(4.23) \quad g_{s,t} = (1 + s\phi)g_t.$$

At a point $m \in \pi(S_{1,i})$ we have

$$(4.24) \quad \text{Hess } \phi(X, X) = -\text{Hess } \psi_{1,i}(X, X) = -2g_{s,t}(X_\perp, X_\perp)^2 = -2\|X_\perp\|_{g_{s,t}}^2,$$

where X_\perp denotes the component of X perpendicular to $\pi(S_{1,i})$. At points of $\pi(S_{1,-i})$, $\pi(S_{i,1})$, and $\pi(S_{i,-1})$ equations similar to (4.24) are true.

For any $\theta > 0$ consider the compact subset of

$$(4.25) \quad (S^3 \times S^2) \times \text{Gr}_2(T(S^3 \times S^2)) \times \text{Gr}_2(T(S^3 \times S^2))$$

given by

$$(4.26) \quad K_\theta := \{(m, \sigma, \sigma') : \sigma, \sigma' \in \text{Gr}_2(T_m(S^3 \times S^2)), \text{dist}(\sigma, \sigma') \geq \theta\},$$

and define

$$(4.27) \quad \begin{aligned} f &: [0, S] \times K_\theta \rightarrow \mathbb{R} \\ f(s, (m, \sigma, \sigma')) &:= \frac{1}{2} \left(\sec_{g_{s,t}}(\sigma) + \sec_{g_{s,t}}(\sigma') \right). \end{aligned}$$

Now, $f(0, (m, \sigma, \sigma')) \geq 0$, because $\sec_{g_{s,t}} \geq 0$. Furthermore, $f(0, (m, \sigma, \sigma')) = 0$ only for $m \in \pi(S_{1,i}) \cup \pi(S_{1,-i}) \cup \pi(S_{i,-1}) \cup \pi(S_{i,1})$, because these are the only points of $S^3 \times S^2$ that contain more than one flat 2-plane. Let (m, σ, σ') be such that $f(0, (m, \sigma, \sigma')) = 0$, and let $\sigma = X \wedge Y$ and $\sigma' = Z \wedge W$, with X, Y g_t -orthonormal and Z, W g_t -orthonormal. Then by Lemma 2.41 and equation (4.24) at these points of K_θ we have

$$(4.28) \quad \begin{aligned} \frac{\partial f}{\partial s} \Big|_{s=0} &= \\ &= \frac{d}{ds} \left(\sec_{g_{s,t}}(X \wedge Y) + \sec_{g_{s,t}}(Z \wedge W) \right) \Big|_{s=0} \\ &= -\frac{1}{2} \text{Hess } \phi(X, X) - \frac{1}{2} \text{Hess } \phi(Y, Y) - \frac{1}{2} \text{Hess } \phi(Z, Z) - \frac{1}{2} \text{Hess } \phi(W, W) \\ &= \|X_\perp\|_{g_t}^2 + \|Y_\perp\|_{g_t}^2 + \|Z_\perp\|_{g_t}^2 + \|W_\perp\|_{g_t}^2 > 0. \end{aligned}$$

The previous expression is strictly greater than zero because $\text{span}\{X, Y, Z, W\}$ is at least 3-dimensional, since $X \wedge Y$ and $Z \wedge W$ are different 2-planes, and $\pi(S_{1,i}), \pi(S_{1,-i}), \pi(S_{i,1})$, and $\pi(S_{i,-1})$ are two dimensional, meaning that at least one of the perpendicular components $X_\perp, Y_\perp, Z_\perp$, or W_\perp is nonzero. Thus, assumptions of Lemma 2.42 for the function f are satisfied, so there is an s_* such that $f(s, (m, \sigma, \sigma')) > 0$ for all $(m, \sigma, \sigma') \in K_\theta$ and $0 < s < s_*$. This is precisely the condition $\sec_{g_{s,t}}^\theta > 0$ which proves the Theorem. \square

The claims of Items 2. - 5. of Theorem 1.1 follow from our construction verbatim to Bettiol's work.

4.3 Metrics of positive biorthogonal curvature on 5-manifolds

In this section, we use Smale's classification of closed spin simply connected 5-manifolds with no torsion in homology [27], see also [15, Corollary 7.30]

Theorem 4.3. *A closed connected simply connected 5-manifold M with zero second Stiefel-Whitney class $w_2(M) = 0$ and torsion-free homology $H_2(M) \simeq \mathbb{Z}^k$ is determined up to diffeomorphism by its second Betti number $b_2(M) = \text{rank}(H_2(M)) = k \in \mathbb{N}_0$. In particular, there is a diffeomorphism $M \simeq_{C^\infty} S^5 \# k(S^2 \times S^3)$*

Theorem 4.1 along with the stability of positive biorthogonal curvature under connected sums that was stated in Proposition 3.3 allow us to prove the following result.

Theorem 4.4. *If M is a closed connected simply-connected 5-manifold with second Stiefel-Whitney class $w_2(M) \equiv 0$ and second homology group $H_2(M; \mathbb{Z}) \simeq \mathbb{Z}^r$ then M admits a Riemannian metric g such that $\text{sec}_g^\perp > 0$.*

Proof. By Theorem 4.3, every such manifold is either S^5 for $H_2(M; \mathbb{Z}) = 0$, or a connected sum of $k \in \mathbb{N}$ copies of $S^3 \times S^2$ for $H_2(M; \mathbb{Z}) = \mathbb{Z}^k$. The sphere S^5 with the round metric has positive sectional curvature, so it admits a metric of positive biorthogonal curvature. By Theorem 4.1, $S^3 \times S^2$ admits a metric of positive biorthogonal curvature. By Proposition 3.3 the connected sum $\#k(S^3 \times S^2)$, also admits a metric of positive biorthogonal curvature, completing the proof. \square

Appendix A

Gell-Mann matrices

The following matrices λ_l are traceless self-adjoint 3 by 3 matrices, the Gell-Mann matrices [12]:

$$(A.1) \quad \begin{aligned} \lambda_1 &= \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, & \lambda_2 &= \begin{bmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, & \lambda_3 &= \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \\ \lambda_4 &= \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}, & \lambda_5 &= \begin{bmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{bmatrix}, & \lambda_6 &= \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}, \\ \lambda_7 &= \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{bmatrix}, & \lambda_8 &= \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{bmatrix}. \end{aligned}$$

The set $\{\lambda_l\}_{l=1,2,\dots,8}$ is a basis of the real vector space of 3 by 3 traceless selfadjoint matrices. In physics notation, $\{\lambda_l\}_{l=1,2,\dots,8}$ is a complete set of generators for the real Lie algebra $\mathfrak{su}(3)$ with structure coefficients $f_{lm}^n \in \mathbb{R}$ defined as:

$$(A.2) \quad [\lambda_l, \lambda_n] = if_{lm}^n \lambda_n.$$

In mathematics notation, elements of the real Lie algebra $\mathfrak{su}(3)$ are antiselfadjoint, with a corresponding basis:

$$(A.3) \quad E_l := -i\lambda_l, \quad l = 1, 2, \dots, 8,$$

and structure coefficients defined by the equation:

$$(A.4) \quad [E_l, E_m] = f_{lm}^n E_n.$$

Note that structure coefficients $f_{lm}^n \in \mathbb{R}$ in equations A.2 and A.4 are the same real numbers. The group elements in physics notation and mathematics notation are the same. This is because in physics notation $-i$ in the exponential is assumed:

$$(A.5) \quad u = \exp(-i\alpha^l \lambda_l) = \exp(\alpha^l E_l),$$

For real numbers $\alpha_l \in \mathbb{R}$, $l = 1, 2, \dots, 8$. Furthermore, note that the terms structure coefficients and structure constants are used interchangeably in the literature.

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is given by

$$\|x\|_{X_\theta} = \inf\{\|F\|_{\mathcal{F}} : F(\theta) = x\}.$$

In the article “Homéomorphismes uniformes entre les sphères unité des espaces d’interpolation”, M. Daher defines the complex interpolation space as the holomorphic functions over \mathcal{S} however replacing the continuity of the operators over $\overline{\mathcal{S}}$ by a condition of integrability L_p . This allows to find on certain hypotheses a minimal representation for each point of the interpolation domain in a uniformly continuous way. In other words, the result presented by Daher is the following: if $(X_0, X_1)_{\theta_1}$ is an interpolation couple, $\theta_1, \theta_2 \in (0, 1)$ and X_0 is uniformly convex, then exists a uniform homeomorphism between the unit sphere of the complex interpolation space $X_{\theta_1} = (X_0, X_1)_{\theta_1}$ and the unit sphere of X_{θ_2} .

The goal is to consider the notion of minimal functions in the Banach lattice setting and find the form of uniform homeomorphisms between spheres of interpolation scales in this case (in particular, we want to analyze examples of spaces L_p for $1 < p < \infty$).

Geometry

— Jaime Santos Rodríguez

Universidad Autónoma de Madrid

- **Title:** Wasserstein isometries on the sphere

- **Abstract:** Given a Riemannian manifold (M, g) we can consider $\mathbb{P}_2(M)$ the space of probability measures on M . Using optimal mass transportation we can endow $\mathbb{P}_2(M)$ with the so called L^2 -Wasserstein distance. It will turn out that many geometric properties of M are closely related to those of $\mathbb{P}_2(M)$. For example, M is non-negatively curved if and only if $\mathbb{P}_2(M)$ is non-negatively curved (in the sense of Alexandrov).

It is easily seen that given an isometry $\varphi : M \rightarrow M$ we can define via push-forwards an isometry on $\mathbb{P}_2(M)$. Therefore an interesting question would be to determine whether the isometry group of $\mathbb{P}_2(M)$ is strictly larger than that of M .

In this talk we will focus on the case of \mathbb{S}^n . we will discuss the optimal transport of measures supported there and prove that the isometry groups of \mathbb{S}^n and of $\mathbb{P}_2(\mathbb{S}^n)$ coincide.

— Boris Stupovski

SISSA (Trieste)

- **Title:** Five-dimensional manifolds with positive biorthogonal curvature

- **Abstract:** Biorthogonal curvature on a Riemannian manifold is defined as the minimum of the average of sectional curvatures of a plane and planes orthogonal to it. Bettiol classified, up to homeomorphism, closed simply-connected 4-manifolds with positive biorthogonal curvature. In this talk, we present a first result in this direction in dimension five. Namely, that every closed simply-connected spin 5-manifold with torsion-free homology admits a metric of positive biorthogonal curvature.

— Eduardo Mota



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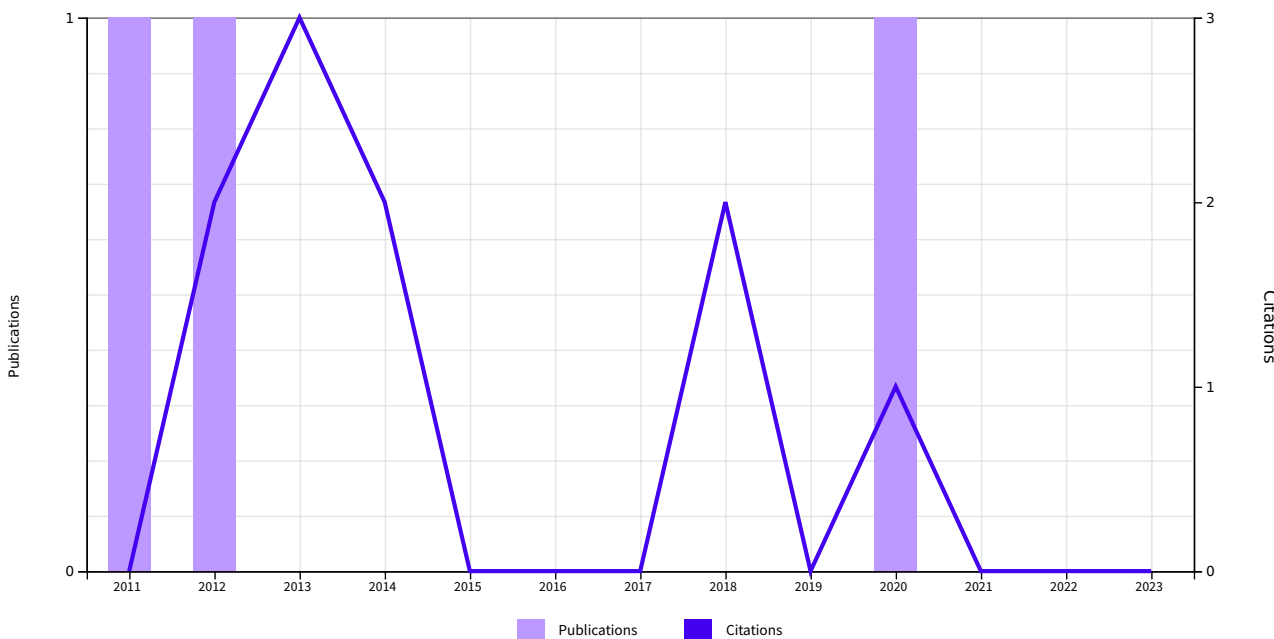
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